

Mike Johnston, "Spaceman with Floating Pizza"

School on Electron-Phonon Physics, Many-Body Perturbation Theory, and Computational Workflows

10-16 June 2024, Austin TX



U.S. DEPARTMENT OF
ENERGY



TACC
TEXAS ADVANCED COMPUTING CENTER



Lecture Tue. 5

Introduction to EPW

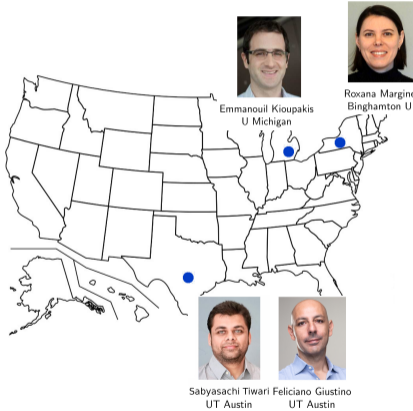
Sabyasachi Tiwari

Oden Institute for Computational Engineering and Sciences

The University of Texas at Austin

- Computational flow in EPW
- Basic inputs for EPW
- Next in EPW
- Summary of exercises

EPW collaboration



Emmanouil Kioupakis
U Michigan

Roxana Margine
Binghamton U

Sabyasachi Tiwari
UT Austin

Feliciano Giustino
UT Austin



Samuel Poncé
Polytechnique Louvain

Marios Zacharias
Univ Rennes

Developers

- Kyle Bushick
- Viet-Anh Ha
- Samad Hajinazar
- Jon Lafuente-Bartolomé
- Hyungjun Lee
- Johsua Leveillee
- Chao Lian
- Jae-Mo Lihm
- Francesco Macheda
- Hitoshi Mori
- Hari Paudyal
- Weng-Hong Sio
- Aidan Thorn
- Amanda Wang
- Xiao Zhang

Docs

EPW

PROJECT
[About](#)
[Releases](#)
[Documentation](#)
[Schems](#)
[Acknowledgement](#)
[Papers using EPW](#)
[Contact](#)

SOURCE CODE
[Download and install](#)
[Code coverage](#)
[Accuracy](#)
[GitLab](#)
[Test Form](#)
[Developers](#)
[Benchmarks](#)

DEVELOPERS
[Developers Meetings](#)

A complete list of tutorials and files are available in this [link](#).

Exercise 1

The tutorial tarball is located inside the `src/epw/25/` directory. Untar the tutorial tarball, go to `exercise1`, and create directory `workdir`:

```
tar -xvf tutorial.tar.gz -C tutorial/exercise1/ mkdir workdir
```

In this exercise we will generate the ZG configuration of silicon in a $3 \times 3 \times 3$ supercell for the temperature $T = 0$ K and run your first DFT-ZG calculation. In the following, all steps for obtaining the phonons of silicon are provided, but to speed up the process you can skip the first four steps. These steps are standard Quantum Espresso runs for obtaining the interatomic force constants. We note that for generating successfully a ZG configuration you need to make sure that the phonon dispersion is as you would expect (compare with literature). If there exist negative frequencies (soft modes), the code excludes them. If the system is anharmonic one should consider to evaluate anharmonic phonons using A-SDM as in [Exercise 3](#).

Run a self-consistent calculation for silicon in the `workdir`. For converged results, the energy cutoff `ecutwfc` should be 30 Ry:

```
cd workdir; cp ../inputs/si.scf.in ./; cp ../inputs/SL.po-vec.epw .; mpirun -np 4 QE/tdm/pw.x -nk 4 -sl.scf.in -sl.scf.out
```

Run a `ph.x` calculation on a homogeneous $4 \times 4 \times 4$ q-point grid using the input:

Forum

phpBB EPW Forum

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TOPICS	REPLIES	VIEWS	LAST POST
Carrier concentration by Sobhan - Sun Oct 06, 2023 12:08 pm	1	133	by Sobhan - Sun Oct 06, 2023 3:45 pm
realist: invert size (annotated) by Sobhan - Mon Sep 18, 2023 2:02 pm	0	58	by Sobhan - Mon Sep 18, 2023 2:02 pm
Anharmonic phonons with EPW-ZG by Sobhan - Tue Oct 17, 2023 4:27 pm	3	1019	by Sobhan - Tue Oct 17, 2023 12:38 pm
Electron-Phonon Matrix Elements EPW by Sobhan - Tue Oct 17, 2023 10:00 pm	5	1084	by Sobhan - Mon Oct 09, 2023 11:13 pm
Approximation of constant relaxation times from Energy dependent relaxation time by Amrutha - Sun Oct 01, 2023 8:44 am	0	201	by Amrutha - Sun Oct 01, 2023 8:44 am
Scattering rate contributed by each Phonon mode by Sobhan - Tue Oct 03, 2023 10:00 pm	0	728	by Sobhan - Tue Oct 03, 2023 10:00 pm
reciprocity as a function of temperature in Ice Aluminum by Sobhan - Tue Oct 18, 2023 8:00 pm	0	580	by Sobhan - Tue Oct 18, 2023 8:00 pm
Short-Range Scattering Rate EPW by Amrutha - Tue Oct 03, 2023 6:30 pm	0	2485	by Amrutha - Tue Oct 03, 2023 6:30 pm
Problem in carrier thermal property scattering by Sobhan - Tue Sep 19, 2023 1:16 pm	2	1686	by Sobhan - Tue Sep 19, 2023 6:31 pm
Defining positive-definite homogeneous K-point grid for EPW calculations by Amrutha - Sat Sep 16, 2023 9:27 am	2	1047	by Amrutha - Tue Sep 19, 2023 5:17 pm
Phonon linewidth does not change with temperature by Sobhan - Mon Sep 04, 2023 9:05 am	2	1670	by Sobhan - Tue Sep 19, 2023 2:01 pm
weights do not add up to eqn1*eqn2 by Sobhan - Mon Sep 04, 2023 3:48 pm	5	2130	by Sobhan - Tue Sep 19, 2023 4:05 pm
Guide for Models by Sobhan - Mon Aug 07, 2023 3:03 pm	1	11489	by Sobhan - Tue Sep 19, 2023 8:52 pm
Defining the chemical potential value by Sobhan - Tue Jul 25, 2023 4:03 pm	0	10247	by Sobhan - Tue Sep 19, 2023 4:03 pm

Overview of work from 2016 to 2023

computational
materials

www.nature.com/npjcomputats

ARTICLE **OPEN**

Electron-phonon physics from first principles using the EPW code

Hyunjung Lee^{1,2}, Samuel Poncé³, Kyle Bushick⁴, Samad Hajinazar^{5,11}, Jon Lafuente-Bartolome^{6,12}, Joshua Leveillee^{1,2}, Chao Lian^{1,2}, Jae-Mo Lihm⁶, Francesco Macheda^{6,10}, Hitoshi Mori⁶, Hari Paudyal⁶, Weng Hong Sio^{1,9}, Sabyasachi Tiwari^{1,2}, Marios Zacharias¹⁰, Xiao Zhang⁸, Nicola Bonini⁷, Emmanouil Kioupakis⁶, Elena R. Margine⁶ and Feliciano Giustino^{1,2,6,8}

EPW is an open-source software for ab initio calculations of electron-phonon interactions and related materials properties. The code combines density functional perturbation theory and maximally localized Wannier functions to efficiently compute electron-phonon coupling matrix elements, and to perform predictive calculations of temperature-dependent properties and phonon-assisted quantum processes in bulk solids and low-dimensional materials. Here, we report on significant developments in the code since 2016, namely: a transport module for the calculation of charge carrier mobility under electric and magnetic fields using the Boltzmann transport equation; a superconductivity module for calculations of phonon-mediated superconductors using the anisotropic multi-band Eliashberg theory; an optics module for calculations of phonon-assisted indirect transitions; a module for the calculation of small and large polarons without supercells; and a module for calculating band structure renormalization and temperature-dependent optical spectra using the special displacement method. For each capability, we outline the methodology and implementation and provide example calculations.

npj Computational Materials (2023)19:156; <https://doi.org/10.1038/s41524-023-01107-3>

- Computational flow in EPW
- Basic inputs for EPW
- Next in EPW
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The central quantity we calculate inside EPW is:

$$g_{mn\nu}(\mathbf{k}_{\text{fi}}, \mathbf{q}_{\text{fi}})$$

Mon. 1. Giustino,

Tue. 2. Giustino

[Phys. Rev. B 76, 165108 (2007), Rev. Mod. Phys. 89, 1 (2017)]

The central quantity we calculate inside EPW is:

$$g_{mn\nu}(\mathbf{k}_{\text{fi}}, \mathbf{q}_{\text{fi}}) \rightarrow$$

[Phys. Rev. B 76, 165108 (2007), Rev. Mod. Phys. 89, 1 (2017)]

Computational flow in EPW

The central quantity we calculate inside EPW is:

$$g_{mn\nu}(\mathbf{k}_{\text{fi}}, \mathbf{q}_{\text{fi}}) \rightarrow$$

Physical Properties



The central quantity we calculate inside EPW is:

$$g_{mn\nu}(\mathbf{k}_{\text{fi}}, \mathbf{q}_{\text{fi}}) \rightarrow$$

Physical Properties

- Self-energies
 - ▶ Today: Lafuente-Bartolome

The central quantity we calculate inside EPW is:

$$g_{mn\nu}(\mathbf{k}_{\text{fi}}, \mathbf{q}_{\text{fi}}) \rightarrow$$

Physical Properties

- Self-energies
 - ▶ Today: Lafuente-Bartolome
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 - ▶ Wed: 1. Poncé, 6. Ha

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Physical Properties

- Self-energies
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- Superconductivity
 - ▶ Wed: 2. Margine, 8. Mori

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 - ▶ Sat: 6. Tiwari
- Polarons
 - ▶ Thu: 2. Giustino, Fri: 5. Lafuente-Bartolome

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Physical Properties

- Self-energies
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- Polarons
 - ▶ Thu: 2. Giustino, Fri: 5. Lafuente-Bartolome
- WFPT
 - ▶ Sat: 4. Lihm

Fine-grid e-ph element:

$$g_{mn\nu}(\mathbf{k}_{\text{fi}}, \mathbf{q}_{\text{fi}})$$

Fine-grid

Fine-grid e-ph element:

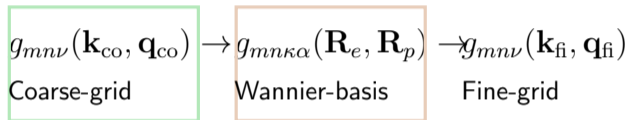
$$g_{mn\nu}(\mathbf{k}_{\text{co}}, \mathbf{q}_{\text{co}}) \rightarrow$$

Coarse-grid

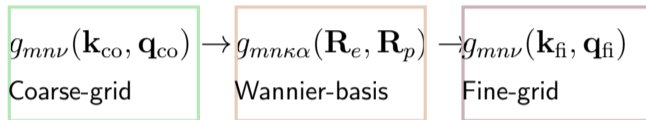
$$g_{mn\nu}(\mathbf{k}_{\text{fi}}, \mathbf{q}_{\text{fi}})$$

Fine-grid

Fine-grid e-ph element:



Fine-grid e-ph element:



Fine-grid e-ph element:

$$g_{mn\nu}(\mathbf{k}_{\text{co}}, \mathbf{q}_{\text{co}})$$

Wavefunctions: QE/pw.x
(nscf)

$$g_{mn\nu}(\mathbf{k}_{co}, \mathbf{q}_{co}) = \langle u_{m\mathbf{k}_{co}+\mathbf{q}_{co}} | \Delta_{\mathbf{q}_{co}\nu} v^{KS} | u_{n\mathbf{k}_{co}} \rangle$$

[Phys. Rev. B 76, 165108 (2007), Rev. Mod. Phys. 89, 1 (2017)]

Computational flow in EPW

Wavefunctions: QE/pw.x
(nscf)

Polarization vectors and
phonon frequencies:
QE/ph.x

$$g_{mn\nu}(\mathbf{k}_{\text{co}}, \mathbf{q}_{\text{co}}) = \langle u_{m\mathbf{k}_{\text{co}}+\mathbf{q}_{\text{co}}} | \Delta_{\mathbf{q}_{\text{co}}\nu} v^{\text{KS}} | u_{n\mathbf{k}_{\text{co}}} \rangle$$

$$\Delta_{\mathbf{q}_{\text{co}}\nu} v^{\text{KS}} = \sum_{\kappa\alpha} \sqrt{\frac{\hbar}{2M_{\kappa}\omega_{\mathbf{q}_{\text{co}}\nu}}} e_{\kappa\alpha}(\mathbf{q}_{\text{co}}) \partial_{\kappa\alpha, \mathbf{q}_{\text{co}}} v^{\text{KS}}$$

[Phys. Rev. B 76, 165108 (2007), Rev. Mod. Phys. 89, 1 (2017)]

Computational flow in EPW

Wavefunctions: QE/pw.x
(nscf)

Polarization vectors and
phonon frequencies:
QE/ph.x

Perturbing potential:
QE/ph.x
pattern representation

$$g_{mn\nu}(\mathbf{k}_{\text{co}}, \mathbf{q}_{\text{co}}) = \langle u_{m\mathbf{k}_{\text{co}}+\mathbf{q}_{\text{co}}} | \Delta_{\mathbf{q}_{\text{co}}\nu} v^{\text{KS}} | u_{n\mathbf{k}_{\text{co}}} \rangle$$

$$\Delta_{\mathbf{q}_{\text{co}}\nu} v^{\text{KS}} = \sum_{\kappa\alpha} \sqrt{\frac{\hbar}{2M_{\kappa}\omega_{\mathbf{q}_{\text{co}}\nu}}} e_{\kappa\alpha}(\mathbf{q}_{\text{co}}) \partial_{\kappa\alpha, \mathbf{q}_{\text{co}}} v^{\text{KS}}$$

$$\partial_{\kappa\alpha, \mathbf{q}_{\text{co}}} v^{\text{KS}} = e^{(-i\mathbf{q}_{\text{co}} \cdot \mathbf{r})} \sum_p e^{(-i\mathbf{q}_{\text{co}} \cdot \mathbf{R}_p)} \frac{\partial V^{\text{KS}}(r)}{\partial \tau_{\kappa\alpha p}}$$

[Phys. Rev. B 76, 165108 (2007), Rev. Mod. Phys. 89, 1 (2017)]

Inputs to EPW

Wavefunctions: QE/pw.x
(nscf)

Polarization vectors and
phonon frequencies:
QE/ph.x

Perturbing potential:
QE/ph.x
pattern representation

$$g_{mn\nu}(\mathbf{k}_{\text{co}}, \mathbf{q}_{\text{co}}) = \langle u_{m\mathbf{k}_{\text{co}}+\mathbf{q}_{\text{co}}} | \Delta_{\mathbf{q}_{\text{co}}\nu} v^{\text{KS}} | u_{n\mathbf{k}_{\text{co}}} \rangle$$

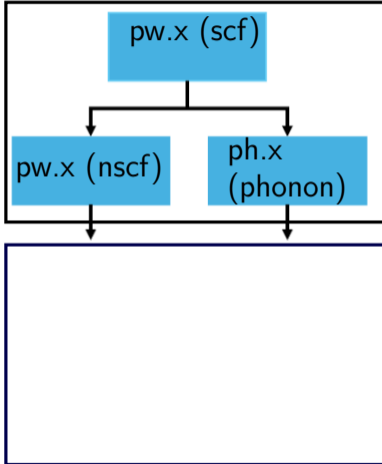
$$\Delta_{\mathbf{q}_{\text{co}}\nu} v^{\text{KS}} = \sum_{\kappa\alpha} \sqrt{\frac{\hbar}{2M_{\kappa}\omega_{\mathbf{q}_{\text{co}}\nu}}} e_{\kappa\alpha}(\mathbf{q}_{\text{co}}) \partial_{\kappa\alpha, \mathbf{q}_{\text{co}}} v^{\text{KS}}$$

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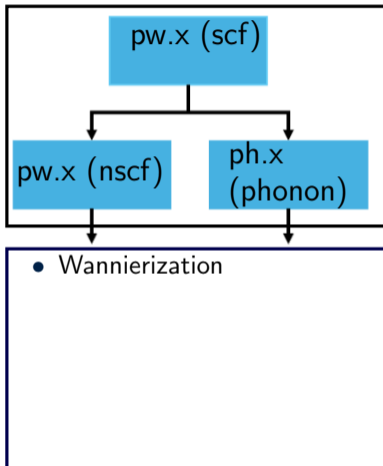
Computational flow in EPW

QE



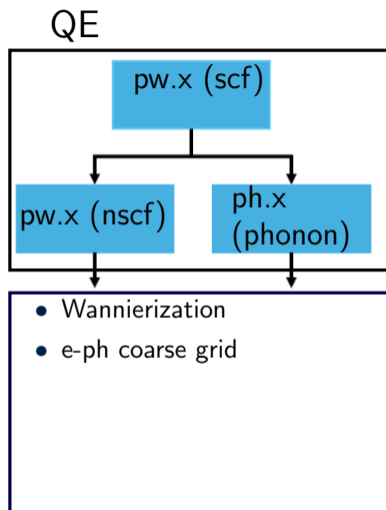
Computational flow in EPW

QE



$$w_m(r - \mathbf{R}_e) = \frac{1}{N} \sum_{n\mathbf{k}} e^{-i\mathbf{k}\cdot\mathbf{R}_e} U_{m\mathbf{n}\mathbf{k}} |\psi_{n\mathbf{k}}\rangle$$

Computational flow in EPW



$$g_{mn\nu}(\mathbf{k}_{\text{co}}, \mathbf{q}_{\text{co}}) = \langle u_{m\mathbf{k}_{\text{co}}+\mathbf{q}_{\text{co}}} | \Delta_{\mathbf{q}_{\text{co}}\nu} v^{\text{KS}} | u_{n\mathbf{k}_{\text{co}}} \rangle$$

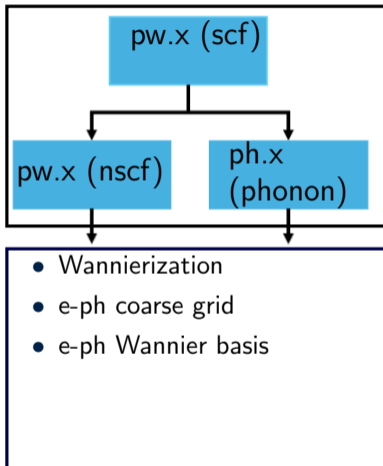
$$\Delta_{\mathbf{q}_{\text{co}}\nu} v^{\text{KS}} = \sum_{\kappa\alpha} \sqrt{\frac{\hbar}{2M_{\kappa}\omega_{\mathbf{q}_{\text{co}}\nu}}} e_{\kappa\alpha}(\mathbf{q}_{\text{co}}) \partial_{\kappa\alpha, \mathbf{q}_{\text{co}}} v^{\text{KS}}$$

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Computational flow in EPW

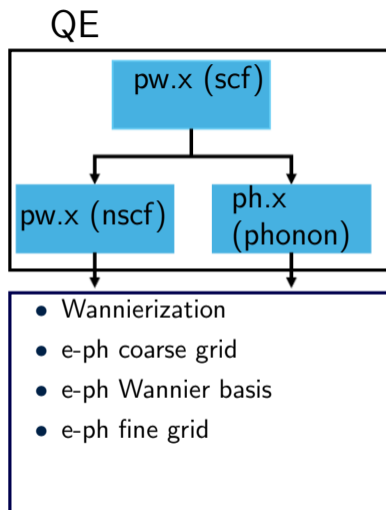
QE



$$g_{mn\kappa\alpha}(\mathbf{R}_p, \mathbf{R}_{p'}) = \langle w_m(r) | \frac{\partial V^{\text{KS}}(r - \mathbf{R}_{p'})}{\partial \tau_{\kappa\alpha p}} | w_n(r - \mathbf{R}_p) \rangle$$

[Phys. Rev. B 76, 165108 (2007), Rev. Mod. Phys. 89, 1 (2017)]

Computational flow in EPW

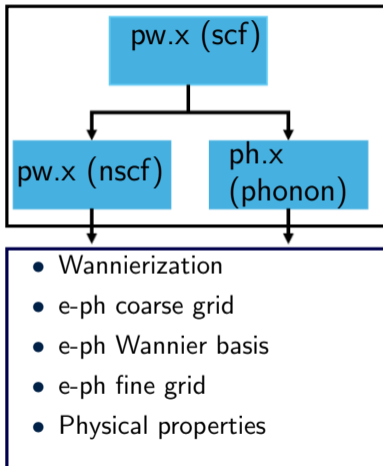


$$g_{mn\nu}(\mathbf{k}_{\text{fi}}, \mathbf{q}_{\text{fi}}) = \sqrt{\frac{\hbar}{2M_{\kappa}\omega_{\mathbf{q}_{\text{fi}}\nu}}} \sum_{\mathbf{R}_p, \mathbf{R}_{p'}} e^{i(\mathbf{k}_{\text{fi}}\mathbf{R}_p + \mathbf{k}_{\text{fi}}\mathbf{R}_{p'})} \times [U_{m\mathbf{k}_{\text{fi}} + \mathbf{q}_{\text{fi}}} g_{mn\kappa\alpha}(\mathbf{R}_p, \mathbf{R}_{p'}) \cdot e_{\kappa\alpha, \nu}(\mathbf{q}_{\text{fi}}) U_{n\mathbf{k}_{\text{fi}}}]$$

[Phys. Rev. B 76, 165108 (2007), Rev. Mod. Phys. 89, 1 (2017)]

Computational flow in EPW

QE



Physical Properties

- Self-energies
 - ▶ Today: Lafuente-Bartolome
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- Computational flow in EPW
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Basic inputs

```
--
&inputepw
prefix = 'si'
amass(1) = 28.08
outdir = './'
dvscf_dir = './save'

elph = .true.
epwwrite = .true.
epwread = .false.

nbndsub = 8
proj(1) = 'Si : sp3'
wannierize = .true.

nk1 = 6
nk2 = 6
nk3 = 6
nq1 = 3
nq2 = 3
nq3 = 3
/
```


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← I/O flags

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← I/O flags

← Control flags

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← Control flags

← Wannier/electronic-structure flags

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```

← I/O flags

← Control flags

← Wannier/electronic-structure flags

← Coarse grid

Basic inputs

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&inputepw
prefix = 'si'
amass(1) = 28.08
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```

← Prefix which was used in
scf calculation

Basic inputs

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```

← Mass of ions and output directory

Basic inputs

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nq3 = 3
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```

← Files
where dvscf
files are
saved

Basic inputs

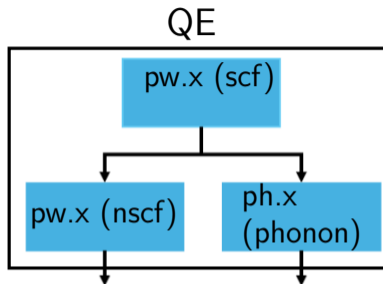
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nq3 = 3
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← Files
where dvscf
files are
saved



Basic inputs

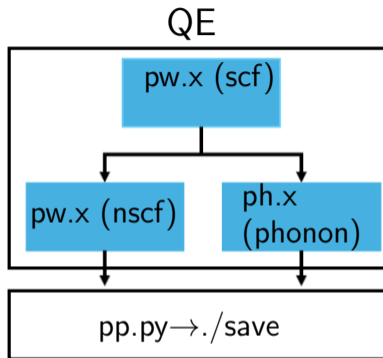
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← Files
where dvscf
files are
saved



Basic inputs

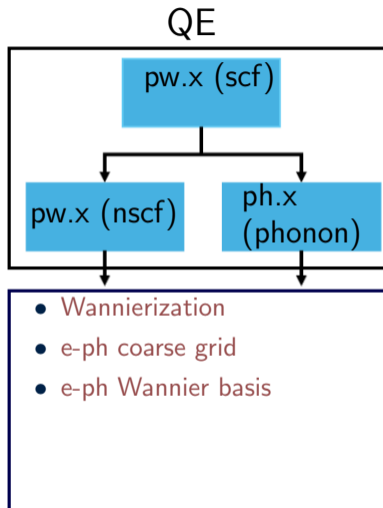
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nq3 = 3
/
```

←
Calculation
and restart



Basic inputs

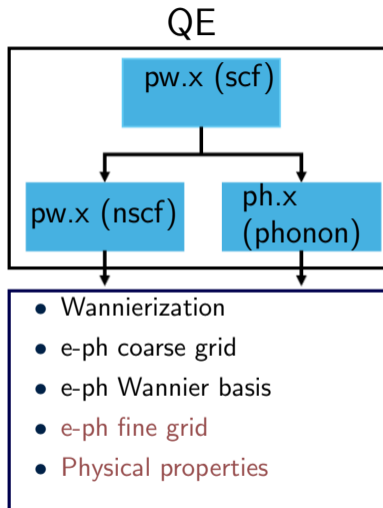
```
--
&inputepw
prefix = 'si'
amass(1) = 28.08
outdir = './'
dvscf_dir = './save'

elph = .true.
epwwrite = .false.
epwread = .true.

nbndsub = 8
proj(1) = 'Si : sp3'
wannierize = .true.

nk1 = 6
nk2 = 6
nk3 = 6
nq1 = 3
nq2 = 3
nq3 = 3
/
```

←
Calculation
and restart



Basic inputs

```
--
&inputepw
prefix = 'si'
amass(1) = 28.08
outdir = './'
dvscf_dir = './save'

elph = .true.
epwwrite = .false.
epwread = .true.

nbndsub = 8
proj(1) = 'Si : sp3'
wannierize = .true.

nk1 = 6
nk2 = 6
nk3 = 6
nq1 = 3
nq2 = 3
nq3 = 3
/
```

← Bands after Wannierization

Basic inputs

```
--
&inputepw
prefix = 'si'
amass(1) = 28.08
outdir = './'
dvscf_dir = './save'

elph = .true.
epwwrite = .false.
epwread = .true.

nbndsub = 8
proj(1) = 'Si : sp3'
wannierize = .true.

nk1 = 6
nk2 = 6
nk3 = 6
nq1 = 3
nq2 = 3
nq3 = 3
/
```

← Starting projections for Wannierization

Basic inputs

```
--
&inputepw
prefix = 'si'
amass(1) = 28.08
outdir = './'
dvscf_dir = './save'

elph = .true.
epwwrite = .false.
epwread = .true.

nbndsub = 8
proj(1) = 'Si : sp3'
wannierize = .true.

nk1 = 6
nk2 = 6
nk3 = 6
nq1 = 3
nq2 = 3
nq3 = 3
/
```

← To Wannierize or not

Basic inputs

```
--
&inputepw
prefix = 'si'
amass(1) = 28.08
outdir = './'
dvscf_dir = './save'

elph = .true.
epwwrite = .false.
epwread = .true.

nbndsub = 8
proj(1) = 'Si : sp3'
wannierize = .false.

nk1 = 6
nk2 = 6
nk3 = 6
nq1 = 3
nq2 = 3
nq3 = 3
/
```

← To Wannierize or not
Not needed for restart

Basic inputs

```
--
&inputepw
prefix = 'si'
amass(1) = 28.08
outdir = './'
dvscf_dir = './save'

elph = .true.
epwwrite = .false.
epwread = .true.

nbndsub = 8
proj(1) = 'Si : sp3'
wannierize = .true.

nk1 = 6
nk2 = 6
nk3 = 6
nq1 = 3
nq2 = 3
nq3 = 3
/
```

← Coarse grids used for nscf and
ph calculations

Application based inputs

```
--  
&inputepw  
..  
loptabs = .true.  
scattering = .true.  
temps = 300  
...  
/
```

Application based inputs

```
--  
&inputepw  
..  
loptabs = .true.  
scattering = .true.  
temps = 300  
...  
/
```

← Learn in
respective
sessions

Application based inputs

```
--  
&inputepw  
..  
loptabs = .true.  
scattering = .true.  
temps = 300  
...  
/
```

← Learn in
respective
sessions

Physical Properties

- Self-energies
 - ▶ Today: Lafuente-Bartolome
- Transport
 - ▶ Wed: 1. Poncé, 6. Ha
- Superconductivity
 - ▶ Wed: 2. Margine, 8. Mori
- Optics
 - ▶ Wed: 3. Kioupakis, Thu: 3. Zhang
 - ▶ Sat: 6. Tiwari
- Polarons
 - ▶ Thu: 2. Giustino, Fri: 5. Lafuente-Bartolome
- WFPT
 - ▶ Sat: 4. Lihm

Parallelization in EPW

- Current EPW version is only parallelized over k -grid

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 - ▶ `mpirun -np <no of cores> <location of q-e bin>/epw.x -nk <no of cores> -in epw.in > epw.out`
 - ▶ e.g., $6 \times 6 \times 6$ k grid: maximum 216 cores to utilize

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 - ▶ `mpirun -np <no of cores> <location of q-e bin>/epw.x -nk <no of cores> -in epw.in > epw.out`
 - ▶ e.g., $6 \times 6 \times 6$ k grid: maximum 216 cores to utilize
 - ▶ `mpirun -np 216 <location of q-e bin>/epw.x -nk 216 -in epw.in > epw.out`

- Special displacements for electron-phonon
- Special displacements anharmonicity
- Fri. 6. Zacharias



Marios Zacharias
Univ Rennes

- Computational flow in EPW
- Basic inputs for EPW
- Next in EPW
- Summary of exercises

In progress (beyond EPW v5.9):

- Exciton-phonon interactions
 - ▶ Fri. 4. Dai
- Many-body corrections to polarons
- Non-adiabatic superconductivity

- Website: epw-code.org
- Forum: forum.epw-code.org/index.php
- References:
 - ▶ [1]. npj comput. mater., 9, 156 (2023)
 - ▶ [2]. Comput. Phys. Commun. 209, 116 (2016)
 - ▶ [3]. Phys. Rev. B 76, 165108 (2007)

Tutorial Tue. 5

Hands-On Intro: Running EPW

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UPV/EHU

- Computational flow in EPW
- Basic inputs for EPW
- Next in EPW
- Summary of exercises

Summary of exercises

- **Exercise 1:** Phonon linewidths in Pb (metal)
- **Exercise 2:** Electron linewidths in SiC (polar semiconductor)

Main goal: To learn the basic usage of EPW and how to check the quality and convergence of the calculations.

