

2023 Virtual School on Many-Body Calculations using EPW and BerkeleyGW

June 5-9 2023



U.S. DEPARTMENT OF
ENERGY

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Lecture Tue.1

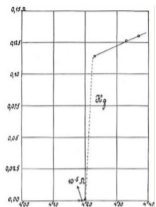
Superconductors and Migdal-Eliashberg theory

Roxana Margine

Department of Physics, Applied Physics, and Astronomy
Binghamton University - State University of New York

- Superconductivity milestones
- BCS theory of superconductivity
- Nambu-Gor'kov formalism and Migdal-Eliashberg theory
- Density functional theory for superconductors
- Examples from calculations
- Outlook

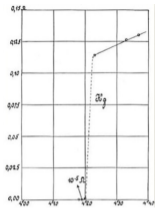
Superconductivity milestones



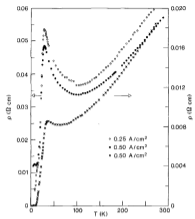
Onnes, Commun. Phys. Lab.

Univ. Leiden. Suppl. 29 (1911)

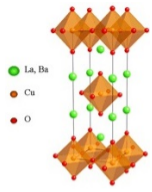
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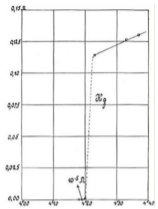
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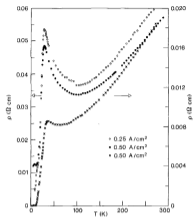
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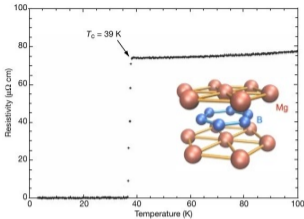
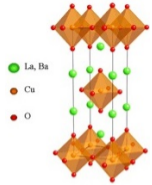
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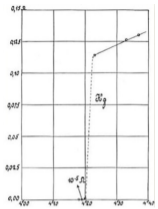


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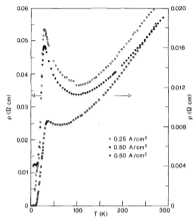


Nagamatsu et. al., Nature 410, 63 (2001)

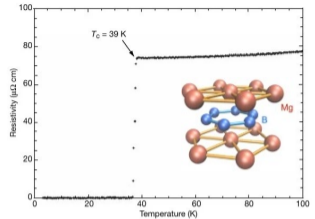
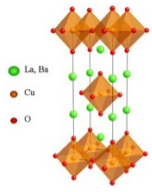
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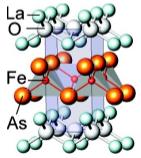
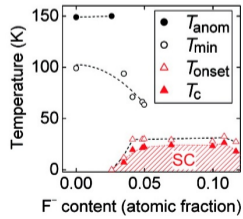
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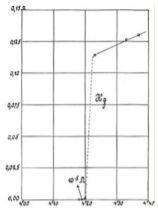


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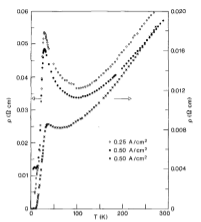


Kamihara et. al., JACS 130, 3296 (2008)

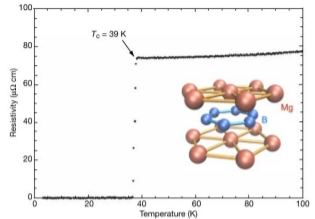
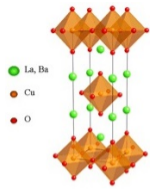
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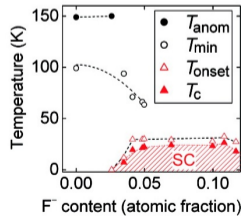
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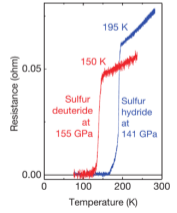
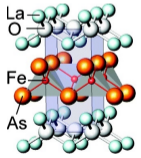
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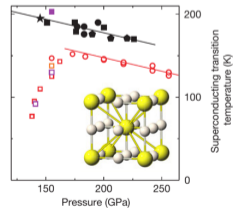
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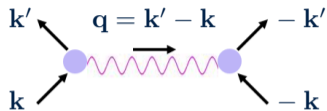
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Drozdov et. al. Nature 73, 525 (2015)

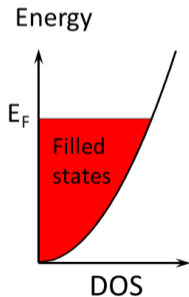
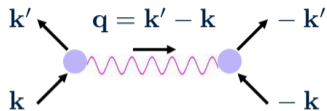


BCS theory



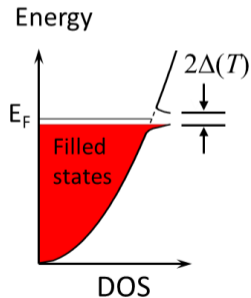
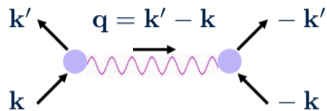
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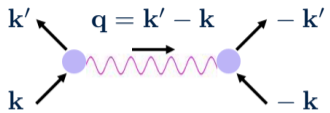
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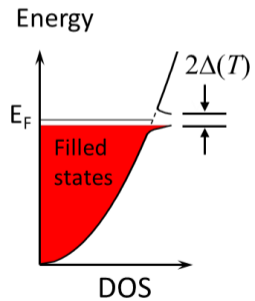
superconducting gap

paring potential

$$\Delta_{n\mathbf{k}} = \sum_m \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \tanh\left(\frac{E_{m\mathbf{k}+\mathbf{q}}}{2k_B T}\right) \frac{V_{n\mathbf{k},m\mathbf{k}+\mathbf{q}} \Delta_{m\mathbf{k}+\mathbf{q}}}{2E_{m\mathbf{k}+\mathbf{q}}}$$

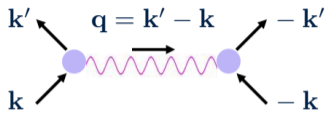
$$E_{n\mathbf{k}} = \sqrt{(\epsilon_{n\mathbf{k}} - \epsilon_F)^2 + |\Delta_{n\mathbf{k}}|^2}$$

↑
quasiparticle
excitation energy



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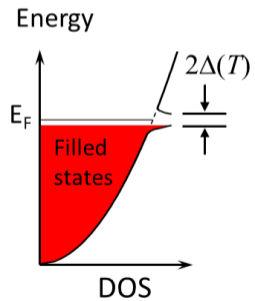
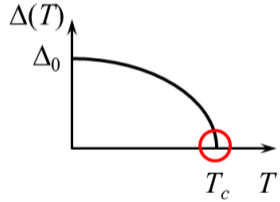
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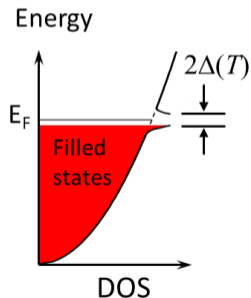
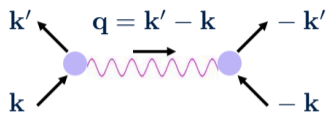
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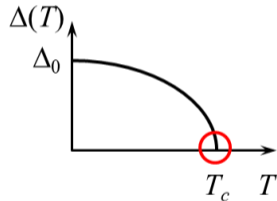
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excitation energy



- describes in detail the phenomenology of superconductivity
- is a descriptive theory, material-independent $\rightarrow 2\Delta_0 = 3.53k_B T_c$
- does not account for the retardation of the e-ph interaction

Bardeen, Cooper, and Schrieffer, Phys. Rev. 108, 1175 (1957)

McMillan-Allen-Dynes formula for critical temperature

$$T_c = \frac{\omega_{\log}}{1.2} \exp \left[\frac{-1.04(1 + \lambda)}{\lambda - \mu_c^*(1 + 0.62\lambda)} \right]$$

Coulomb pseudopotential e-ph coupling strength



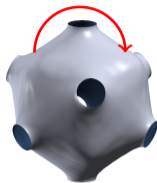
$$\lambda = N_F \left\langle \left\langle \sum_{\nu} \frac{|g_{mn\nu}(\mathbf{k}, \mathbf{q})|^2}{\hbar\omega_{\mathbf{q}\nu}} \right\rangle \right\rangle_{\text{FS}}$$

McMillan Phys. Rev. 167, 331(1968); Allen and Dynes, PRB 12, 905 (1975).

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- can be easily calculated (e.g., QE, Abinit)
- works reasonably well for isotropic superconductors
- fails for multiband and/or anisotropic superconductors
- approximates the Coulomb interaction through μ_c^*

McMillan Phys. Rev. 167, 331(1968); Allen and Dynes, PRB 12, 905 (1975).

Nambu-Gor'kov formalism

A generalized 2×2 matrix Green's function $\hat{G}_{n\mathbf{k}}(\tau)$ is used to describe the propagation of electron quasiparticles and of superconducting Cooper pairs on an equal footing.

imaginary time Wick's time-ordering operator

$$\hat{G}_{n\mathbf{k}}(\tau) = -\langle T_{\tau} \Psi_{n\mathbf{k}}(\tau) \Psi_{n\mathbf{k}}^{\dagger}(0) \rangle$$

two-component
field operator

$$\Psi_{n\mathbf{k}} = \begin{bmatrix} \hat{c}_{n\mathbf{k}\uparrow} \\ \hat{c}_{n-\mathbf{k}\downarrow}^{\dagger} \end{bmatrix}$$

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- Diagonal elements are the **normal state Green's functions** and describe single-particle electronic excitations.

Nambu-Gor'kov formalism

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- Diagonal elements are the **normal state Green's functions** and describe single-particle electronic excitations.
- Off-diagonal elements are the **anomalous Green's functions** and describe Cooper pairs amplitudes.

Nambu-Gor'kov formalism

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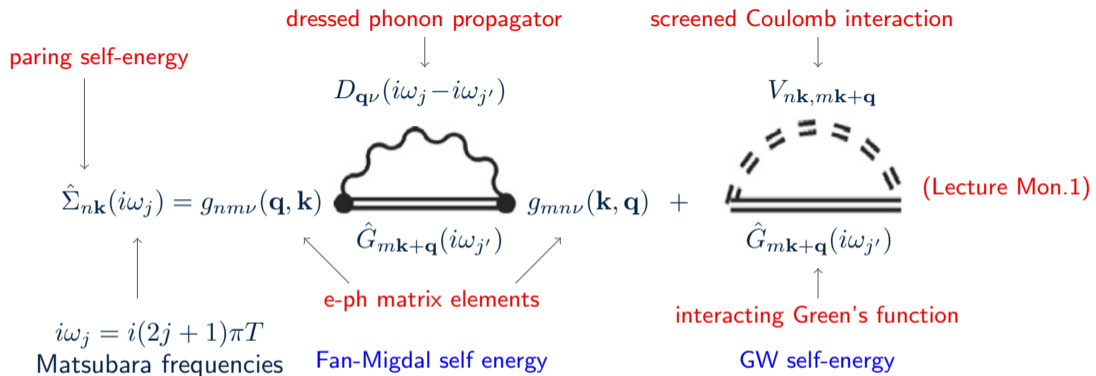
$\hat{G}_{n\mathbf{k}}(\tau)$ is periodic in τ and can be expanded in a Fourier series:

$$\hat{G}_{n\mathbf{k}}(\tau) = T \sum_{i\omega_j} e^{-i\omega_j\tau} \hat{G}_{n\mathbf{k}}(i\omega_j)$$

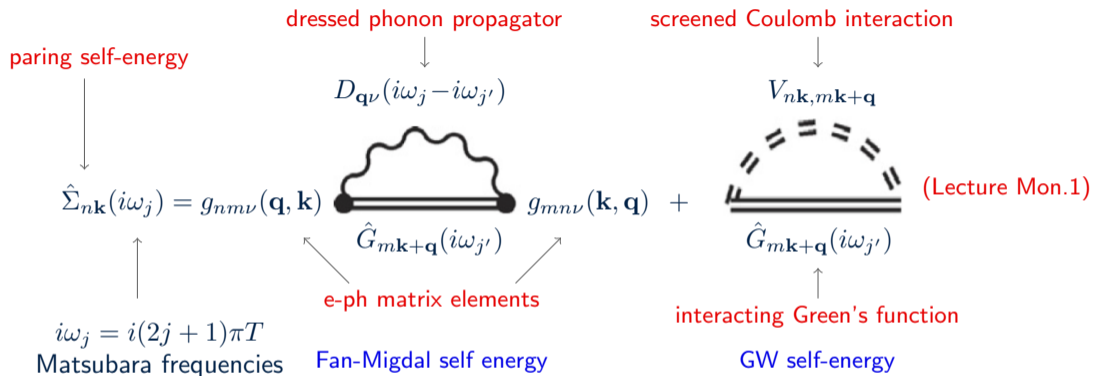
where $i\omega_j = i(2j + 1)\pi T$ (j integer) are Matsubara frequencies and T is the temperature.

$$\hat{G}_{n\mathbf{k}}(i\omega_j) = \begin{bmatrix} G_{n\mathbf{k}}(i\omega_j) & F_{n\mathbf{k}}(i\omega_j) \\ F_{n\mathbf{k}}^*(i\omega_j) & -G_{-n\mathbf{k}}(-i\omega_j) \end{bmatrix}$$

Migdal-Eliashberg theory



Migdal-Eliashberg theory



Migdal's theorem

E-ph vertex corrections are neglected assuming that the neglected terms are of the order of $(m_e/M)^{1/2} \propto \omega_D/\epsilon_F$.

Migdal-Eliashberg approximation

$$\hat{\Sigma}_{n\mathbf{k}}(i\omega_j) = -T \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \hat{\tau}_3 \hat{G}_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'}) \hat{\tau}_3 \\ \times \left[\sum_{\nu} |g_{m\nu}(\mathbf{k}, \mathbf{q})|^2 D_{\mathbf{q}\nu}(i\omega_j - i\omega_{j'}) + V_{n\mathbf{k}, m\mathbf{k}+\mathbf{q}} \right]$$

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bare phonon propagator

$$D_{0,\mathbf{q}\nu}(i\omega_j) = \int_0^{\infty} d\omega \frac{2\omega}{(i\omega_j)^2 - \omega^2} \delta(\omega - \omega_{\mathbf{q}\nu})$$

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anisotropic e-ph coupling strength

$$\lambda_{n\mathbf{k}, m\mathbf{k}+\mathbf{q}}(\omega_j) = N_{\text{F}} \sum_{\nu} \int_0^{\infty} d\omega \frac{2\omega}{\omega_j^2 + \omega^2} |g_{m\nu}(\mathbf{k}, \mathbf{q})|^2 \delta(\omega - \omega_{\mathbf{q}\nu})$$

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anisotropic e-ph coupling strength

$$\lambda_{n\mathbf{k}, m\mathbf{k}+\mathbf{q}}(\omega_j) = N_{\text{F}} \sum_{\nu} \int_0^{\infty} d\omega \frac{2\omega}{\omega_j^2 + \omega^2} |g_{m\nu\nu}(\mathbf{k}, \mathbf{q})|^2 \delta(\omega - \omega_{\mathbf{q}\nu})$$

$$\hat{\Sigma}_{n\mathbf{k}}(i\omega_j) = -T \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \hat{\tau}_3 \hat{G}_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'}) \hat{\tau}_3 \times [\lambda_{n\mathbf{k}, m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'}) + V_{n\mathbf{k}, m\mathbf{k}+\mathbf{q}}]$$

Migdal-Eliashberg theory

$\hat{G}_{n\mathbf{k}}(i\omega_j)$ obeys the [Dyson's equation](#) in Matsubara space:


$$\hat{G}_{n\mathbf{k}}^{-1}(i\omega_j) = \hat{G}_{0,n\mathbf{k}}^{-1}(i\omega_j) - \hat{\Sigma}_{n\mathbf{k}}(i\omega_j)$$

Migdal-Eliashberg theory

$\hat{G}_{n\mathbf{k}}(i\omega_j)$ obeys the **Dyson's equation** in Matsubara space:

$$\hat{G}_{n\mathbf{k}}^{-1}(i\omega_j) = \hat{G}_{0,n\mathbf{k}}^{-1}(i\omega_j) - \hat{\Sigma}_{n\mathbf{k}}(i\omega_j)$$

non-interacting
Green's function


$$\hat{G}_{0,n\mathbf{k}}^{-1}(i\omega_j) = i\omega_j \hat{\tau}_0 - (\epsilon_{n\mathbf{k}} - \epsilon_F) \hat{\tau}_3$$

Pauli
matrices

$$\hat{\tau}_0 = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

$$\hat{\tau}_1 = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

$$\hat{\tau}_3 = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

Migdal-Eliashberg theory

$\hat{G}_{n\mathbf{k}}(i\omega_j)$ obeys the **Dyson's equation** in Matsubara space:

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$$\hat{G}_{0,n\mathbf{k}}^{-1}(i\omega_j) = i\omega_j \hat{\tau}_0 - (\epsilon_{n\mathbf{k}} - \epsilon_F) \hat{\tau}_3$$

$$\hat{\Sigma}_{n\mathbf{k}}(i\omega_j) = i\omega_j [1 - Z_{n\mathbf{k}}(i\omega_j)] \hat{\tau}_0 + \chi_{n\mathbf{k}}(i\omega_j) \hat{\tau}_3 + \Delta_{n\mathbf{k}}(i\omega_j) Z_{n\mathbf{k}}(i\omega_j) \hat{\tau}_1$$

mass renormalization
function

energy
shift

superconducting
gap function

Pauli
matrices

$$\hat{\tau}_0 = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

$$\hat{\tau}_1 = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

$$\hat{\tau}_3 = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

Migdal-Eliashberg theory

$$\hat{G}_{n\mathbf{k}}(i\omega_j) = \frac{-1}{\Theta_{n\mathbf{k}}(i\omega_j)} \begin{bmatrix} i\omega_j Z_{n\mathbf{k}}(i\omega_j) + (\epsilon_{n\mathbf{k}} - \epsilon_F) + \chi_{n\mathbf{k}}(i\omega_j) & \Delta_{n\mathbf{k}}(i\omega_j) Z_{n\mathbf{k}}(i\omega_j) \\ \Delta_{n\mathbf{k}}(i\omega_j) Z_{n\mathbf{k}}(i\omega_j) & i\omega_j Z_{n\mathbf{k}}(i\omega_j) - (\epsilon_{n\mathbf{k}} - \epsilon_F) - \chi_{n\mathbf{k}}(i\omega_j) \end{bmatrix}$$

$$\Theta_{n\mathbf{k}}(i\omega_j) = [\omega_j Z_{n\mathbf{k}}(i\omega_j)]^2 + [(\epsilon_{n\mathbf{k}} - \epsilon_F) + \chi_{n\mathbf{k}}(i\omega_j)]^2 + [Z_{n\mathbf{k}}(i\omega_j) \Delta_{n\mathbf{k}}(i\omega_j)]^2$$

- Diagonal elements are the **normal state Green's functions** $G_{n\mathbf{k}}(i\omega_j)$ and describe single-particle electronic excitations in the normal state.
- Off-diagonal elements are the **anomalous Green's functions** $F_{n\mathbf{k}}(i\omega_j)$ and describe Cooper pairs amplitudes in the superconducting state.

Migdal-Eliashberg theory

$$\hat{G}_{n\mathbf{k}}(i\omega_j) = -\frac{i\omega_j Z_{n\mathbf{k}}(i\omega_j)\hat{\tau}_0 + [(\epsilon_{n\mathbf{k}} - \epsilon_F) + \chi_{n\mathbf{k}}(i\omega_j)]\hat{\tau}_3 + \Delta_{n\mathbf{k}}(i\omega_j)Z_{n\mathbf{k}}(i\omega_j)\hat{\tau}_1}{\Theta_{n\mathbf{k}}(i\omega_j)}$$

$$\Theta_{n\mathbf{k}}(i\omega_j) = [\omega_j Z_{n\mathbf{k}}(i\omega_j)]^2 + [(\epsilon_{n\mathbf{k}} - \epsilon_F) + \chi_{n\mathbf{k}}(i\omega_j)]^2 + [Z_{n\mathbf{k}}(i\omega_j)\Delta_{n\mathbf{k}}(i\omega_j)]^2$$

Migdal-Eliashberg theory

$$\hat{G}_{n\mathbf{k}}(i\omega_j) = -\frac{i\omega_j Z_{n\mathbf{k}}(i\omega_j)\hat{\tau}_0 + [(\epsilon_{n\mathbf{k}} - \epsilon_F) + \chi_{n\mathbf{k}}(i\omega_j)]\hat{\tau}_3 + \Delta_{n\mathbf{k}}(i\omega_j)Z_{n\mathbf{k}}(i\omega_j)\hat{\tau}_1}{\Theta_{n\mathbf{k}}(i\omega_j)}$$

$$\Theta_{n\mathbf{k}}(i\omega_j) = [\omega_j Z_{n\mathbf{k}}(i\omega_j)]^2 + [(\epsilon_{n\mathbf{k}} - \epsilon_F) + \chi_{n\mathbf{k}}(i\omega_j)]^2 + [Z_{n\mathbf{k}}(i\omega_j)\Delta_{n\mathbf{k}}(i\omega_j)]^2$$

$$\hat{\Sigma}_{n\mathbf{k}}(i\omega_j) = -T \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \hat{\tau}_3 \hat{G}_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'}) \hat{\tau}_3 \times [\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'}) + V_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}]$$

Migdal-Eliashberg theory

$$\hat{G}_{n\mathbf{k}}(i\omega_j) = -\frac{i\omega_j Z_{n\mathbf{k}}(i\omega_j)\hat{\tau}_0 + [(\epsilon_{n\mathbf{k}} - \epsilon_F) + \chi_{n\mathbf{k}}(i\omega_j)]\hat{\tau}_3 + \Delta_{n\mathbf{k}}(i\omega_j)Z_{n\mathbf{k}}(i\omega_j)\hat{\tau}_1}{\Theta_{n\mathbf{k}}(i\omega_j)}$$

$$\Theta_{n\mathbf{k}}(i\omega_j) = [\omega_j Z_{n\mathbf{k}}(i\omega_j)]^2 + [(\epsilon_{n\mathbf{k}} - \epsilon_F) + \chi_{n\mathbf{k}}(i\omega_j)]^2 + [Z_{n\mathbf{k}}(i\omega_j)\Delta_{n\mathbf{k}}(i\omega_j)]^2$$

$$\hat{\Sigma}_{n\mathbf{k}}(i\omega_j) = -T \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \hat{\tau}_3 \hat{G}_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'}) \hat{\tau}_3 \times [\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'}) + V_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}]$$

$$\hat{\Sigma}_{n\mathbf{k}}(i\omega_j) = -T \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'}) - N_F V_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}}{\Theta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})} \\ \times \{i\omega_{j'} Z_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})\hat{\tau}_0 + [(\epsilon_{m\mathbf{k}+\mathbf{q}} - \epsilon_F) + \chi_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})]\hat{\tau}_3 - \Delta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})Z_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})\hat{\tau}_1\}$$

Migdal-Eliashberg theory

$$\hat{G}_{n\mathbf{k}}(i\omega_j) = -\frac{i\omega_j Z_{n\mathbf{k}}(i\omega_j)\hat{\tau}_0 + [(\epsilon_{n\mathbf{k}} - \epsilon_F) + \chi_{n\mathbf{k}}(i\omega_j)]\hat{\tau}_3 + \Delta_{n\mathbf{k}}(i\omega_j)Z_{n\mathbf{k}}(i\omega_j)\hat{\tau}_1}{\Theta_{n\mathbf{k}}(i\omega_j)}$$

$$\Theta_{n\mathbf{k}}(i\omega_j) = [\omega_j Z_{n\mathbf{k}}(i\omega_j)]^2 + [(\epsilon_{n\mathbf{k}} - \epsilon_F) + \chi_{n\mathbf{k}}(i\omega_j)]^2 + [Z_{n\mathbf{k}}(i\omega_j)\Delta_{n\mathbf{k}}(i\omega_j)]^2$$

$$\hat{\Sigma}_{n\mathbf{k}}(i\omega_j) = -T \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \hat{\tau}_3 \hat{G}_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'}) \hat{\tau}_3 \times [\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'}) + V_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}]$$

$$\hat{\Sigma}_{n\mathbf{k}}(i\omega_j) = -T \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'}) - N_F V_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}}{\Theta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})} \\ \times \{i\omega_{j'} Z_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})\hat{\tau}_0 + [(\epsilon_{m\mathbf{k}+\mathbf{q}} - \epsilon_F) + \chi_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})]\hat{\tau}_3 - \Delta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})Z_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})\hat{\tau}_1\}$$

$$\hat{\Sigma}_{n\mathbf{k}}(i\omega_j) = i\omega_j [1 - Z_{n\mathbf{k}}(i\omega_j)]\hat{\tau}_0 + \chi_{n\mathbf{k}}(i\omega_j)\hat{\tau}_3 + \Delta_{n\mathbf{k}}(i\omega_j)Z_{n\mathbf{k}}(i\omega_j)\hat{\tau}_1$$

Migdal-Eliashberg theory

$$\hat{G}_{n\mathbf{k}}(i\omega_j) = -\frac{i\omega_j Z_{n\mathbf{k}}(i\omega_j)\hat{\tau}_0 + [(\epsilon_{n\mathbf{k}} - \epsilon_F) + \chi_{n\mathbf{k}}(i\omega_j)]\hat{\tau}_3 + \Delta_{n\mathbf{k}}(i\omega_j)Z_{n\mathbf{k}}(i\omega_j)\hat{\tau}_1}{\Theta_{n\mathbf{k}}(i\omega_j)}$$

$$\Theta_{n\mathbf{k}}(i\omega_j) = [\omega_j Z_{n\mathbf{k}}(i\omega_j)]^2 + [(\epsilon_{n\mathbf{k}} - \epsilon_F) + \chi_{n\mathbf{k}}(i\omega_j)]^2 + [Z_{n\mathbf{k}}(i\omega_j)\Delta_{n\mathbf{k}}(i\omega_j)]^2$$

$$\hat{\Sigma}_{n\mathbf{k}}(i\omega_j) = -T \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \hat{\tau}_3 \hat{G}_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'}) \hat{\tau}_3 \times [\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'}) + V_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}]$$

$$\hat{\Sigma}_{n\mathbf{k}}(i\omega_j) = -T \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'}) - N_F V_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}}{\Theta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})} \\ \times \{i\omega_{j'} Z_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})\hat{\tau}_0 + [(\epsilon_{m\mathbf{k}+\mathbf{q}} - \epsilon_F) + \chi_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})]\hat{\tau}_3 - \Delta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})Z_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})\hat{\tau}_1\}$$

$$\hat{\Sigma}_{n\mathbf{k}}(i\omega_j) = i\omega_j [1 - Z_{n\mathbf{k}}(i\omega_j)]\hat{\tau}_0 + \chi_{n\mathbf{k}}(i\omega_j)\hat{\tau}_3 + \Delta_{n\mathbf{k}}(i\omega_j)Z_{n\mathbf{k}}(i\omega_j)\hat{\tau}_1$$

Equating the scalar coefficients of the Pauli matrices leads to the anisotropic Migdal-Eliashberg equations.

Anisotropic Migdal-Eliashberg equations on imaginary axis: FBW

$$Z_{n\mathbf{k}}(i\omega_j) = 1 + \frac{T}{\omega_j} \sum_{m,j'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{\omega_{j'} Z_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})}{\Theta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})} \frac{\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'})}{N_{\text{F}}}$$

$$\chi_{n\mathbf{k}}(i\omega_j) = -T \sum_{m,j'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{(\epsilon_{m\mathbf{k}+\mathbf{q}} - \epsilon_{\text{F}}) + \chi_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})}{\Theta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})} \frac{\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'})}{N_{\text{F}}}$$

$$Z_{n\mathbf{k}}(i\omega_j) \Delta_{n\mathbf{k}}(i\omega_j) = T \sum_{m,j'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{Z_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'}) \Delta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})}{\Theta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})} \left[\frac{\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'})}{N_{\text{F}}} - V_{n\mathbf{k},m\mathbf{k}+\mathbf{q}} \right]$$

Anisotropic Migdal-Eliashberg equations on imaginary axis: FBW

$$Z_{n\mathbf{k}}(i\omega_j) = 1 + \frac{T}{\omega_j} \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{\omega_{j'} Z_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})}{\Theta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})} \frac{\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'})}{N_{\text{F}}}$$

$$\chi_{n\mathbf{k}}(i\omega_j) = -T \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{(\epsilon_{m\mathbf{k}+\mathbf{q}} - \epsilon_{\text{F}}) + \chi_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})}{\Theta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})} \frac{\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'})}{N_{\text{F}}}$$

$$Z_{n\mathbf{k}}(i\omega_j) \Delta_{n\mathbf{k}}(i\omega_j) = T \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{Z_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'}) \Delta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})}{\Theta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})} \left[\frac{\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'})}{N_{\text{F}}} - V_{n\mathbf{k},m\mathbf{k}+\mathbf{q}} \right]$$

$$n_e = 1 - 2T \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{(\epsilon_{m\mathbf{k}+\mathbf{q}} - \epsilon_{\text{F}}) + \chi_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})}{\Theta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})}$$

Anisotropic Migdal-Eliashberg equations on imaginary axis: FBW

$$Z_{n\mathbf{k}}(i\omega_j) = 1 + \frac{T}{\omega_j} \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{\omega_{j'} Z_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})}{\Theta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})} \frac{\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'})}{N_{\text{F}}}$$

$$\chi_{n\mathbf{k}}(i\omega_j) = -T \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{(\epsilon_{m\mathbf{k}+\mathbf{q}} - \epsilon_{\text{F}}) + \chi_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})}{\Theta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})} \frac{\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'})}{N_{\text{F}}}$$

$$Z_{n\mathbf{k}}(i\omega_j) \Delta_{n\mathbf{k}}(i\omega_j) = T \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{Z_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'}) \Delta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})}{\Theta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})} \left[\frac{\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'})}{N_{\text{F}}} - V_{n\mathbf{k},m\mathbf{k}+\mathbf{q}} \right]$$

$$n_e = 1 - 2T \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{(\epsilon_{m\mathbf{k}+\mathbf{q}} - \epsilon_{\text{F}}) + \chi_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})}{\Theta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})}$$

- only the off-diagonal contributions of the Coulomb self-energy are retained in order to avoid double counting of Coulomb effects

Anisotropic Migdal-Eliashberg equations on imaginary axis: FSR

$$Z_{n\mathbf{k}}(i\omega_j) = 1 + \frac{\pi T}{\omega_j} \sum_{m,j'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{\omega_{j'}}{\sqrt{\omega_{j'}^2 + \Delta_{m\mathbf{k}+\mathbf{q}}^2(i\omega_{j'})}} \frac{\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'})}{N_{\text{F}}} \delta(\epsilon_{m\mathbf{k}+\mathbf{q}} - \epsilon_{\text{F}})$$

$$Z_{n\mathbf{k}}(i\omega_j) \Delta_{n\mathbf{k}}(i\omega_j) = \frac{\pi T}{\omega_j} \sum_{m,j'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{\Delta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})}{\sqrt{\omega_{j'}^2 + \Delta_{m\mathbf{k}+\mathbf{q}}^2(i\omega_{j'})}} \left[\frac{\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'})}{N_{\text{F}}} - V_{n\mathbf{k},m\mathbf{k}+\mathbf{q}} \right] \\ \times \delta(\epsilon_{m\mathbf{k}+\mathbf{q}} - \epsilon_{\text{F}})$$

- all quantities are evaluated around the Fermi surface $\rightarrow \chi_{n\mathbf{k}}(i\omega_j)$ vanishes when integrated on the Fermi surface because it is an odd function of ω_j
- the electron density of states in the vicinity of the Fermi level is assumed to be constant

Anisotropic Migdal-Eliashberg equations on imaginary axis: FSR

$$Z_{n\mathbf{k}}(i\omega_j) = 1 + \frac{\pi T}{\omega_j} \sum_{m,j'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{\omega_{j'}}{\sqrt{\omega_{j'}^2 + \Delta_{m\mathbf{k}+\mathbf{q}}^2(i\omega_{j'})}} \frac{\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'})}{N_{\text{F}}} \delta(\epsilon_{m\mathbf{k}+\mathbf{q}} - \epsilon_{\text{F}})$$

$$Z_{n\mathbf{k}}(i\omega_j) \Delta_{n\mathbf{k}}(i\omega_j) = \frac{\pi T}{\omega_j} \sum_{m,j'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{\Delta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})}{\sqrt{\omega_{j'}^2 + \Delta_{m\mathbf{k}+\mathbf{q}}^2(i\omega_{j'})}} \left[\frac{\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'})}{N_{\text{F}}} - V_{n\mathbf{k},m\mathbf{k}+\mathbf{q}} \right] \\ \times \delta(\epsilon_{m\mathbf{k}+\mathbf{q}} - \epsilon_{\text{F}})$$

anisotropic e-ph
coupling strength

$$\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j) = N_{\text{F}} \sum_{\nu} \int_0^{\infty} d\omega \frac{2\omega}{\omega_j^2 + \omega^2} |g_{m\nu}(\mathbf{k}, \mathbf{q})|^2 \delta(\omega - \omega_{\mathbf{q}\nu})$$



Poncé et al., Comput. Phys. Commun. 209, 116 (2016)

Anisotropic Migdal-Eliashberg equations on imaginary axis: FSR

$$Z_{n\mathbf{k}}(i\omega_j) = 1 + \frac{\pi T}{\omega_j} \sum_{m,j'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{\omega_{j'}}{\sqrt{\omega_{j'}^2 + \Delta_{m\mathbf{k}+\mathbf{q}}^2(i\omega_{j'})}} \frac{\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'})}{N_{\text{F}}} \delta(\epsilon_{m\mathbf{k}+\mathbf{q}} - \epsilon_{\text{F}})$$

$$Z_{n\mathbf{k}}(i\omega_j) \Delta_{n\mathbf{k}}(i\omega_j) = \frac{\pi T}{\omega_j} \sum_{m,j'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{\Delta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})}{\sqrt{\omega_{j'}^2 + \Delta_{m\mathbf{k}+\mathbf{q}}^2(i\omega_{j'})}} \left[\frac{\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'})}{N_{\text{F}}} - V_{n\mathbf{k},m\mathbf{k}+\mathbf{q}} \right] \\ \times \delta(\epsilon_{m\mathbf{k}+\mathbf{q}} - \epsilon_{\text{F}})$$

- the static screened Coulomb interaction $N_{\text{F}} V_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}$ is embedded into the semi-empirical pseudopotential μ_{c}^*

Anisotropic Migdal-Eliashberg equations on imaginary axis: FSR

$$Z_{n\mathbf{k}}(i\omega_j) = 1 + \frac{\pi T}{\omega_j N_F} \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{\omega_{j'}}{\sqrt{\omega_{j'}^2 + \Delta_{m\mathbf{k}+\mathbf{q}}^2(i\omega_{j'})}} \lambda_{n\mathbf{k}, m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'}) \delta(\epsilon_{m\mathbf{k}+\mathbf{q}} - \epsilon_F)$$

$$Z_{n\mathbf{k}}(i\omega_j) \Delta_{n\mathbf{k}}(i\omega_j) = \frac{\pi T}{N_F} \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{\Delta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})}{\sqrt{\omega_{j'}^2 + \Delta_{m\mathbf{k}+\mathbf{q}}^2(i\omega_{j'})}} [\lambda_{n\mathbf{k}, m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'}) - \mu_c^*] \delta(\epsilon_{m\mathbf{k}+\mathbf{q}} - \epsilon_F)$$

- the static screened Coulomb interaction $N_F V_{n\mathbf{k}, m\mathbf{k}+\mathbf{q}}$ is embedded into the semi-empirical pseudopotential μ_c^*

Coulomb
pseudopotential

$$\mu_c^* = \frac{\mu_c}{1 + \mu_c \log(\omega_{\text{el}}/\omega_{\text{ph}})}$$

$$\mu_c = N_F \langle\langle V_{n\mathbf{k}, m\mathbf{k}+\mathbf{q}} \rangle\rangle_{\text{FS}}$$



Morel and Anderson, Phys. Rev. 125, 1263 (1962)

Schlipf *et al.*, Comput. Phys. Commun. 247, 106856 (2020)

Anisotropic Migdal-Eliashberg equations on imaginary axis: FSR

$$Z_{n\mathbf{k}}(i\omega_j) = 1 + \frac{\pi T}{\omega_j N_F} \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{\omega_{j'}}{\sqrt{\omega_{j'}^2 + \Delta_{m\mathbf{k}+\mathbf{q}}^2(i\omega_{j'})}} \lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'}) \delta(\epsilon_{m\mathbf{k}+\mathbf{q}} - \epsilon_F)$$

$$Z_{n\mathbf{k}}(i\omega_j) \Delta_{n\mathbf{k}}(i\omega_j) = \frac{\pi T}{N_F} \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{\Delta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})}{\sqrt{\omega_{j'}^2 + \Delta_{m\mathbf{k}+\mathbf{q}}^2(i\omega_{j'})}} [\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'}) - \mu_c^*] \delta(\epsilon_{m\mathbf{k}+\mathbf{q}} - \epsilon_F)$$

Anisotropic Migdal-Eliashberg equations on imaginary axis: FSR

$$Z_{n\mathbf{k}}(i\omega_j) = 1 + \frac{\pi T}{\omega_j N_F} \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{\omega_{j'}}{\sqrt{\omega_{j'}^2 + \Delta_{m\mathbf{k}+\mathbf{q}}^2(i\omega_{j'})}} \lambda_{n\mathbf{k}, m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'}) \delta(\epsilon_{m\mathbf{k}+\mathbf{q}} - \epsilon_F)$$

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- The sum over **Matsubara frequencies** must be truncated (a typical cutoff of the order of 1 eV)
- $Z_{n\mathbf{k}}$ and $\Delta_{n\mathbf{k}}$ are only meaningful for **$n\mathbf{k}$ at or near the Fermi surface**

Anisotropic Migdal-Eliashberg equations on real axis

- The Migdal-Eliashberg equations on the imaginary frequency axis are computationally efficient (sums over a finite number of Matsubara frequencies) and they are adequate for calculating the T_c and $\Delta_{n\mathbf{k}}(i\omega_j)$.

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- Solutions on the real energy axis can be obtained by analytic continuation of the solutions along the imaginary frequency axis using Padé approximants (very light computationally) or an iterative procedure (very heavy computationally).

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Excitation spectrum of a superconductor

- The single-particle Green's function on real axis is given by:

$$\hat{G}_{n\mathbf{k}}(\omega) = \frac{\omega Z_{n\mathbf{k}}(\omega)\hat{\tau}_0 + (\epsilon_{n\mathbf{k}} - \epsilon_F)\hat{\tau}_3 + \Delta_{n\mathbf{k}}(\omega)Z_{n\mathbf{k}}(\omega)\hat{\tau}_1}{[\omega Z_{n\mathbf{k}}(\omega)]^2 - (\epsilon_{n\mathbf{k}} - \epsilon_F)^2 - [Z_{n\mathbf{k}}(\omega)\Delta_{n\mathbf{k}}(\omega)]^2}$$

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$\text{Re}E_{n\mathbf{k}}$ quasiparticle energy renormalized by the superconducting pairing

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At Fermi level: $E_{n\mathbf{k}} = \text{Re}\Delta_{n\mathbf{k}}(E_{n\mathbf{k}})$

This identity defines the leading edge $\Delta_{n\mathbf{k}}$ of the superconducting gap:

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binding energy of electrons
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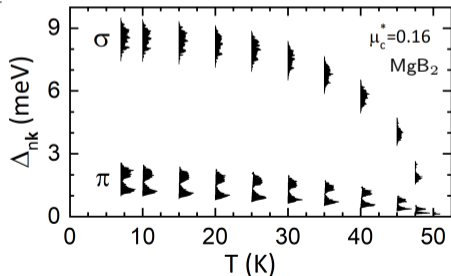
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Margine and Giustino, Phys. Rev. B 87, 024505 (2013)

Superconducting quasiparticle density of states and spectral function

- Superconducting quasiparticle density of states:

$$\frac{N_{n\mathbf{k},S}(\omega)}{N_F} = -\frac{1}{\pi} \int_{-\infty}^{\infty} d\epsilon_{n\mathbf{k}} \text{Im} G_{n\mathbf{k}}^{11}(\omega)$$

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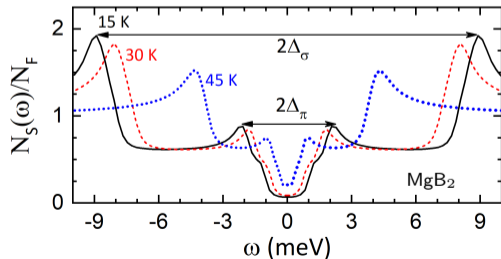
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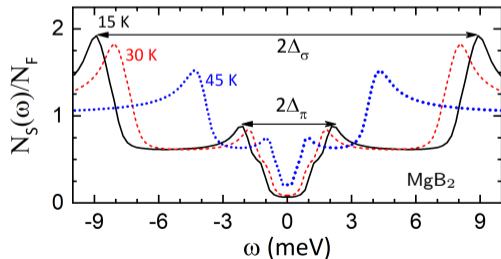
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Margine and Giustino, Phys. Rev. B 87, 024505 (2013)

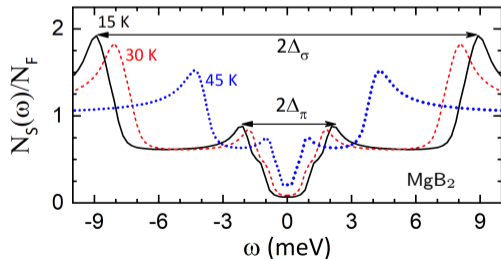
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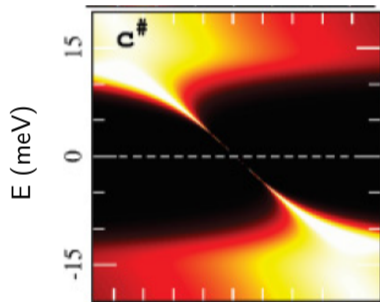
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CaC₆ normal state

Sanna *et al.*, Phys. Rev. B 85, 184514 (2012)

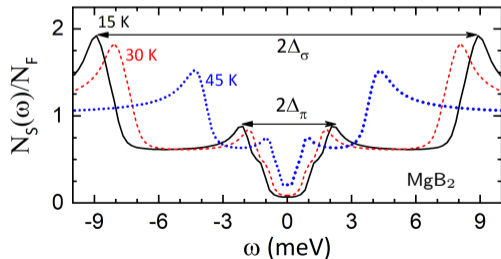
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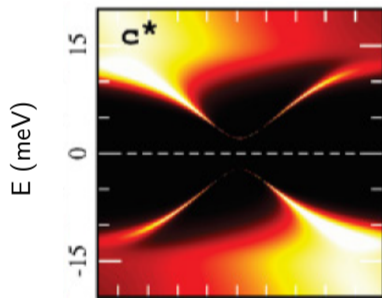
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Margine and Giustino, Phys. Rev. B 87, 024505 (2013)

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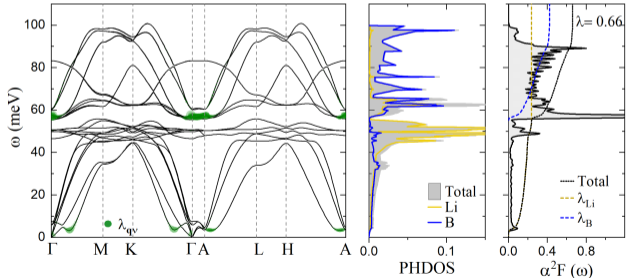
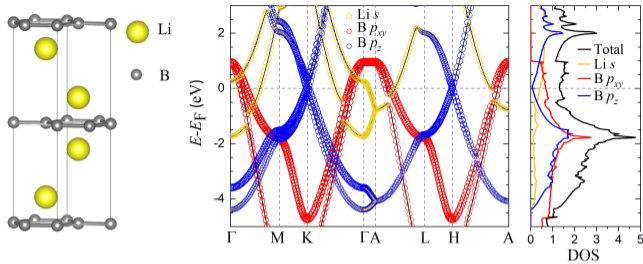
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CaC₆ superconducting state

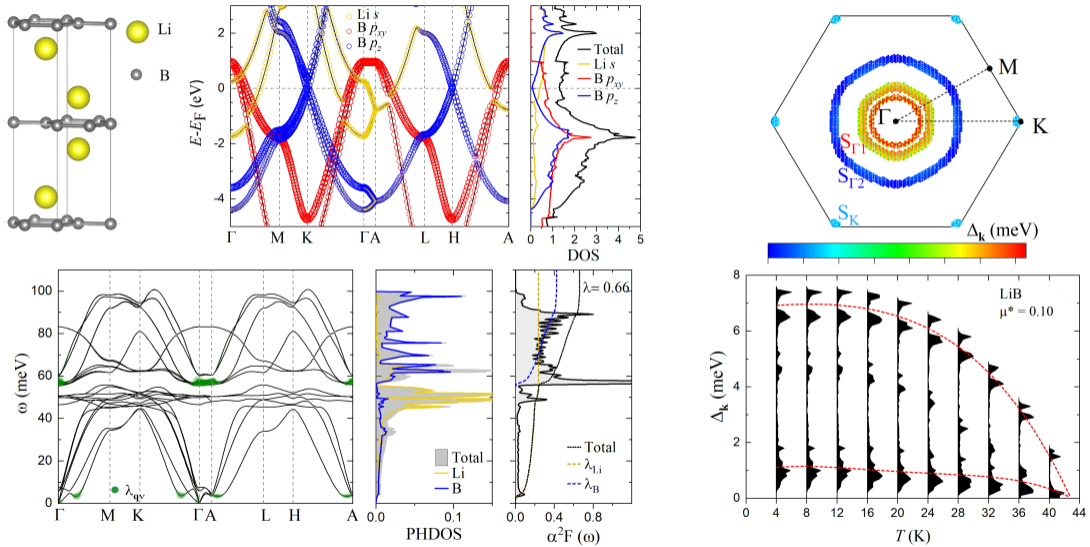
Sanna *et al.*, Phys. Rev. B 85, 184514 (2012)

Superconductivity in LiB: FSR



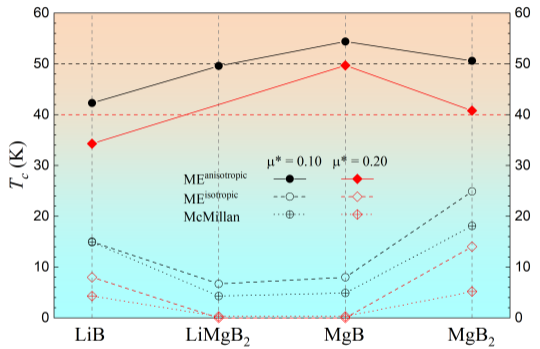
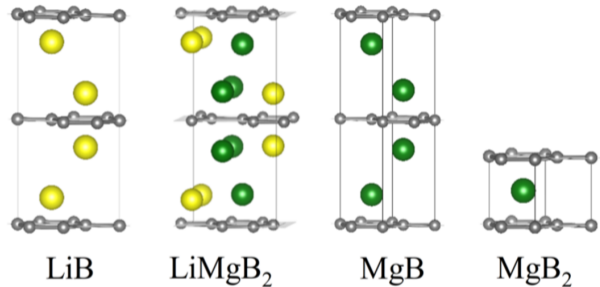
Kolmogorov and Curtarolo, Phys. Rev. B 73, 180501(R) (2006); Kafle *et al.*, Phys. Rev. Materials 6, 084801 (2022).

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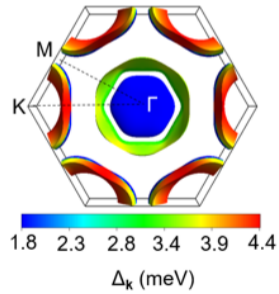
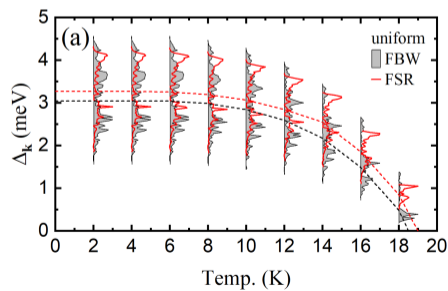
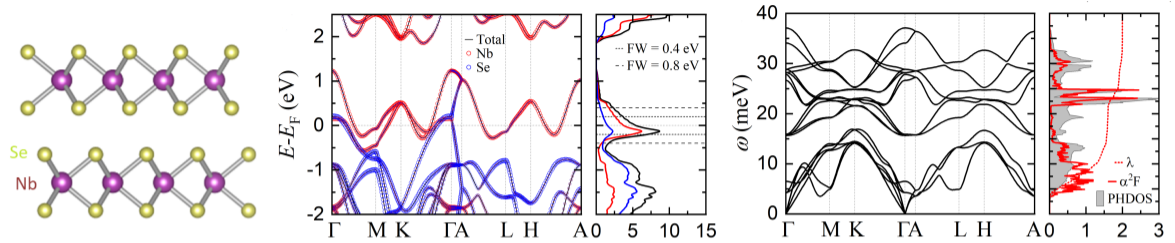
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Superconductivity in Li-Mg-B phases



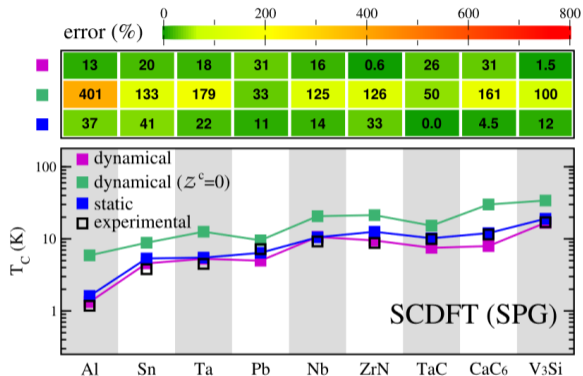
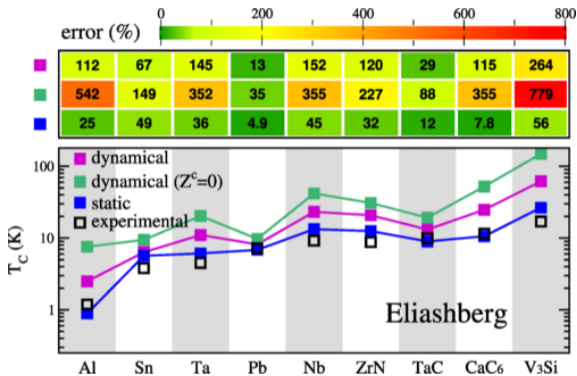
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Superconductivity in 2H-NbSe₂: FBW vs. FSR



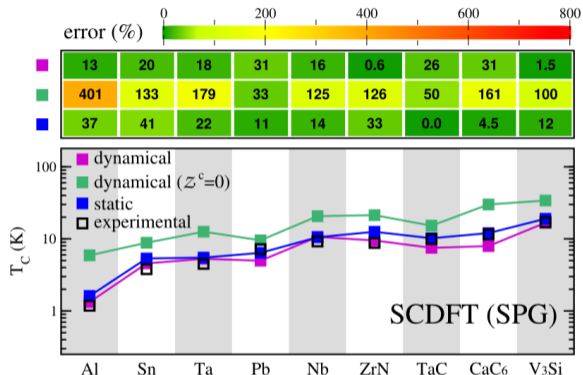
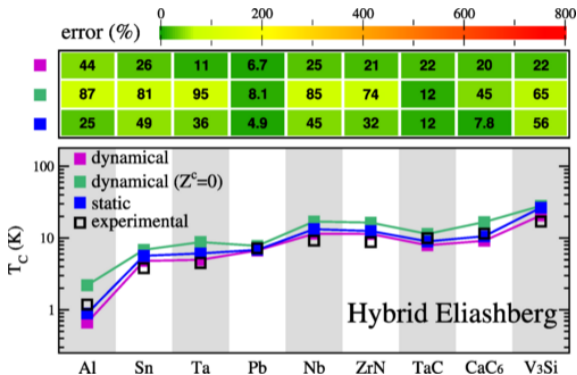
Lee *et al.* arXiv:2302.08085 (2023).

Migdal-Eliashberg with ab initio Coulomb interactions vs. SCDFT



Davydov, Sanna, Pellegrini, Dewhurst, Sharma, and Gross, Phys. Rev. B 102, 214508 (2020).

Migdal-Eliashberg with ab initio Coulomb interactions vs. SCDFT



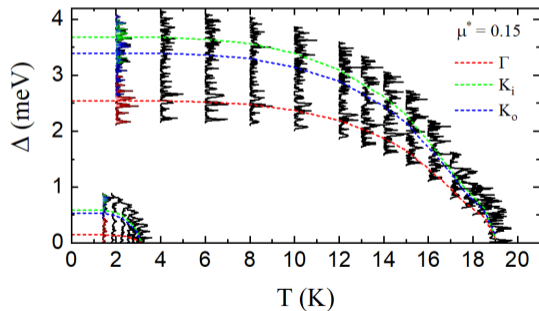
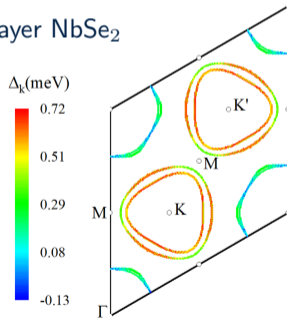
Davydov, Sanna, Pellegrini, Dewhurst, Sharma, and Gross, Phys. Rev. B 102, 214508 (2020).

Migdal-Eliashberg theory with spin fluctuations

$$Z_{n\mathbf{k}}(i\omega_j) = 1 + \frac{\pi T}{\omega_j N_F} \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{\omega_{j'} \delta(\epsilon_{m\mathbf{k}+\mathbf{q}} - \epsilon_F)}{\sqrt{\omega_{j'}^2 + \Delta_{m\mathbf{k}+\mathbf{q}}^2(i\omega_{j'})}} [\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'}) + \lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}^{\text{sf}}(\omega_j - \omega_{j'})]$$

$$Z_{n\mathbf{k}}(i\omega_j) \Delta_{n\mathbf{k}}(i\omega_j) = \frac{\pi T}{N_F} \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \frac{\Delta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'}) \delta(\epsilon_{m\mathbf{k}+\mathbf{q}} - \epsilon_F)}{\sqrt{\omega_{j'}^2 + \Delta_{m\mathbf{k}+\mathbf{q}}^2(i\omega_{j'})}} [\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'}) - \lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}^{\text{sf}}(\omega_j - \omega_{j'}) - \mu_c^*]$$

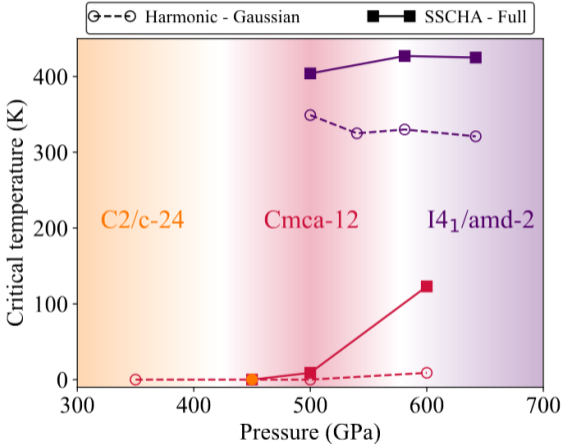
monolayer NbSe₂



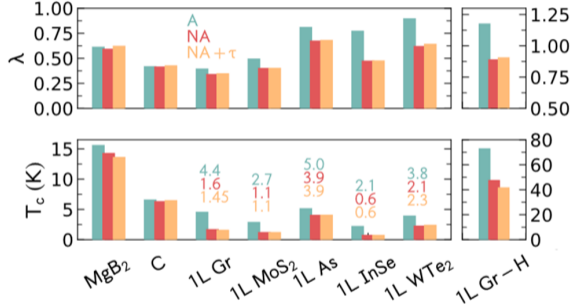
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Anharmonic and non-adiabatic phononic effects

solid hydrogen

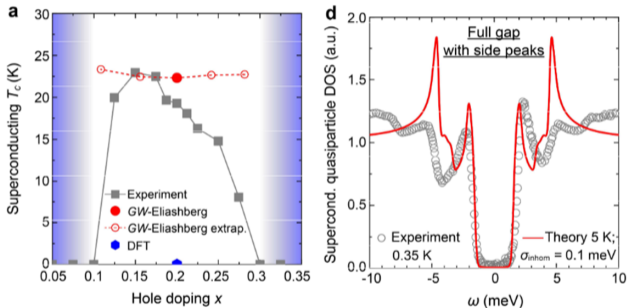
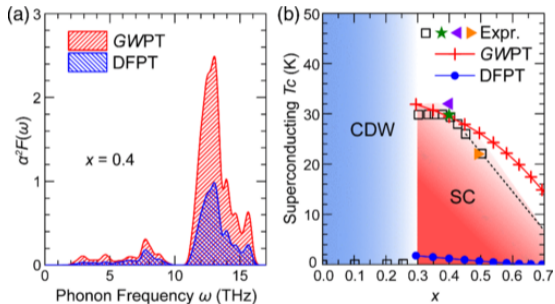


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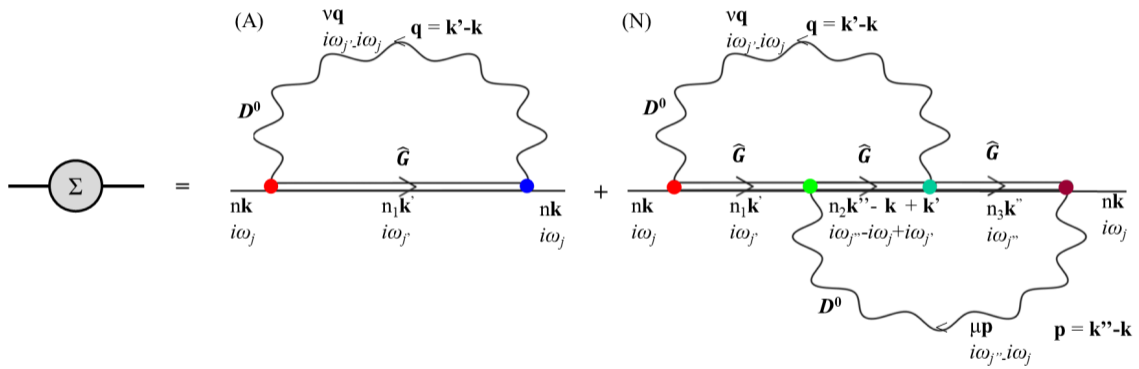
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Take-home messages

- The Migdal-Eliashberg equations can be obtained from a rigorous many-body framework
- The Eliashberg theory provides a well-defined scheme for modeling superconducting properties from first-principles
- The standard implementation of the Eliashberg formalism can be expanded to include additional effects

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