

Mike Johnston, "Spaceman with Floating Pizza"

School on Electron-Phonon Physics, Many-Body
Perturbation Theory, and Computational Workflows
10-16 June 2024, Austin TX



U.S. DEPARTMENT OF
ENERGY



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Lecture Wed.1

Carrier transport in bulk and 2D materials

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- The transport of charge carriers
- Quantum theory of mobility
- Mobility in simple semiconductors
- Hall mobility
- Carrier-impurity transport
- Resistivity in metals
- Outlook

Charges particles (electrons or holes) will move as a result of:

- a density gradient → **diffusion**

Fick's law (1855)

current density: $J = qD\nabla n$

Wikipedia

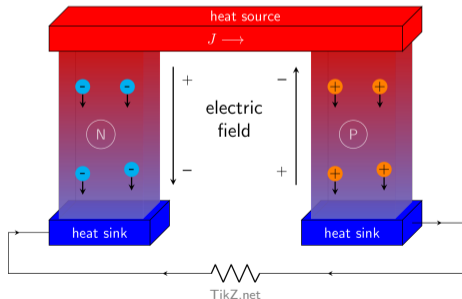
Transport of charge carriers

Charges particles (electrons or holes) will move as a result of:

- a density gradient \rightarrow **diffusion**
- a temperature gradient \rightarrow **thermoelectricity**
 - ▶ Phonon-drag contribution - Gurevich (1945)

Seebeck effect (1821)

current density: $J \propto -\sigma S \nabla T$
 $S \in [-100\mu V/K, 1000\mu V/K]$



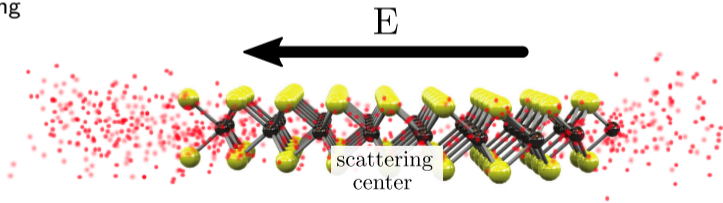
Transport of charge carriers

Charges particles (electrons or holes) will move as a result of:

- a density gradient \rightarrow **diffusion**
- a temperature gradient \rightarrow **thermoelectricity**
 - ▶ Phonon-drag contribution - Gurevich (1945)
- an external electric field $E \rightarrow$ **drift**
 - ▶ lattice/phonon scattering
 - ▶ ionized impurity scattering
 - ▶ alloy scattering
 - ▶ defects scattering

Drude model (1900)

current density: $J = nq\mu E$



$$\text{Mobility } \mu \propto \frac{\partial}{\partial E} \int d\mathbf{k} f_{\mathbf{k}} v_{\mathbf{k}}$$

Current density

$$\mathbf{J}(\mathbf{r}_1, t_1) = \frac{-e\hbar^2}{2m} \lim_{\mathbf{r}_2 \rightarrow \mathbf{r}_1} (\nabla_2 - \nabla_1) G^<(\mathbf{r}_1, \mathbf{r}_2; t_1, t_1)$$
$$G^<(\mathbf{r}_1, \mathbf{r}_2; t_1, t_2) \equiv \frac{i}{\hbar} \langle \hat{\psi}_H^\dagger(\mathbf{r}_2, t_2) \hat{\psi}_H(\mathbf{r}_1, t_1) \rangle$$

Current density

$$\mathbf{J}(\mathbf{r}_1, t_1) = \frac{-e\hbar^2}{2m} \lim_{\mathbf{r}_2 \rightarrow \mathbf{r}_1} (\nabla_2 - \nabla_1) G^<(\mathbf{r}_1, \mathbf{r}_2; t_1, t_1)$$
$$G^<(\mathbf{r}_1, \mathbf{r}_2; t_1, t_2) \equiv \frac{i}{\hbar} \left\langle \hat{\psi}_H^\dagger(\mathbf{r}_2, t_2) \hat{\psi}_H(\mathbf{r}_1, t_1) \right\rangle$$

$$\hat{\psi}_H(\mathbf{r}, t) \equiv \overline{\mathcal{T}} \left[e^{\frac{i}{\hbar} \int_{t_0}^t dt' \hat{H}(t')} \right] \hat{\psi}(\mathbf{r}) \mathcal{T} \left[e^{\frac{-i}{\hbar} \int_{t_0}^t dt' \hat{H}(t')} \right]$$
$$\langle \hat{O} \rangle \equiv \frac{1}{Z} \text{tr} \left[e^{-\beta \hat{H}(t_0)} \hat{O} \right] \quad \leftarrow \text{thermodynamical average}$$
$$Z \equiv \text{tr} \left[e^{-\beta \hat{H}(t_0)} \right] \quad \leftarrow \text{partition function}$$

Quantum theory of mobility

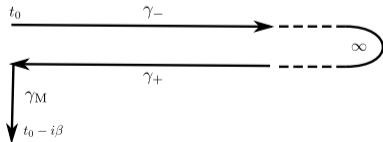
Current density

$$\mathbf{J}(\mathbf{r}_1, t_1) = \frac{-e\hbar^2}{2m} \lim_{\mathbf{r}_2 \rightarrow \mathbf{r}_1} (\nabla_2 - \nabla_1) G^<(\mathbf{r}_1, \mathbf{r}_2; t_1, t_1)$$
$$G^<(\mathbf{r}_1, \mathbf{r}_2; t_1, t_2) \equiv \frac{i}{\hbar} \left\langle \hat{\psi}_H^\dagger(\mathbf{r}_2, t_2) \hat{\psi}_H(\mathbf{r}_1, t_1) \right\rangle$$

Keldysh-Schwinger contour formalism

$$G(\mathbf{r}_1, \mathbf{r}_2; z_1, z_2) = \frac{-i}{\hbar} \frac{1}{Z} \text{tr} \left\{ \mathcal{T}_C \left[e^{\frac{-i}{\hbar} \int_\gamma dz \hat{H}(z)} [\hat{\psi}(\mathbf{r}_1)]_{z_1} [\hat{\psi}^\dagger(\mathbf{r}_2)]_{z_2} \right] \right\}$$

$$\hat{H}(z) = \hat{H}_0 + \hat{H}_{\text{int}} + \hat{H}_{\text{ext}}(z),$$



Quantum theory of mobility

We can perform a perturbative expansion of the GF in powers of \hat{H}_{int} and $\hat{H}_{\text{ext}}(z)$

$$G(\mathbf{r}_1, \mathbf{r}_2; z_1, z_2) = G_0(\mathbf{r}_1, \mathbf{r}_2; z_1, z_2) + \sum_{n,m=1}^{\infty} \frac{(-i/\hbar)^{n+m}}{n!m!} \int_{\gamma} dz'_1 \dots \int_{\gamma} dz'_n \int_{\gamma} dz''_1 \dots \int_{\gamma} dz''_m \\ \times \frac{1}{Z} \text{tr} \left[\mathcal{T}_C e^{\frac{-i}{\hbar} \int_{\gamma} dz [\hat{H}_0]_z} [\hat{H}_{\text{int}}]_{z'_1} \dots [\hat{H}_{\text{int}}]_{z'_n} \hat{H}_{\text{ext}}(z''_1) \dots \hat{H}_{\text{ext}}(z''_m) [\hat{\psi}(\mathbf{r}_1)]_{z_1} [\hat{\psi}^\dagger(\mathbf{r}_2)]_{z_2} \right]$$

$$G_0(\mathbf{r}_1, \mathbf{r}_2; z_1, z_2) = \frac{-i}{\hbar} \frac{1}{Z_0} \text{tr} \left[\mathcal{T}_C e^{\frac{-i}{\hbar} \int_{\gamma} dz [\hat{H}_0]_z} [\hat{\psi}(\mathbf{r}_1)]_{z_1} [\hat{\psi}^\dagger(\mathbf{r}_2)]_{z_2} \right]$$

Expressing the \hat{H} in terms of $\hat{\psi}$ we can use Wick's theorem to write the perturbation series of G in terms of products of G_0 and then solve the expansion with Feynman diagram to obtain Dyson's equation

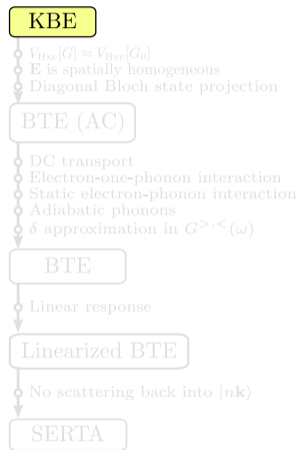
$$G(1, 2) = G_0(1, 2) + \int_{\gamma} d3 \int_{\gamma} d4 G_0(1, 3) \Sigma[G](3, 4) G(4, 2) \\ 1 \equiv (\mathbf{r}_1, z_1)$$

Kadanoff-Baym equation

Using Langreth rules, G_0^{-1} , explicit \hat{H}_0 and evaluating Dyson at equal time, we obtain the Kadanoff-Baym equation for $G^<$ in the limit $t_0 \rightarrow -\infty$:

$$i\hbar \frac{\partial}{\partial t} G^<(\mathbf{r}_1, \mathbf{r}_2; t, t) = [h_0(\mathbf{r}_1, -i\hbar\nabla_1) - h_0(\mathbf{r}_2, +i\hbar\nabla_2)] G^<(\mathbf{r}_1, \mathbf{r}_2; t, t) \\ + \int d^3r_3 \left[\Sigma^\delta(\mathbf{r}_1, \mathbf{r}_3; t) G^<(\mathbf{r}_3, \mathbf{r}_2; t, t) - G^<(\mathbf{r}_1, \mathbf{r}_3; t, t) \Sigma^\delta(\mathbf{r}_3, \mathbf{r}_2; t) \right] \\ + \int_{-\infty}^t dt' \int d^3r_3 \left[\Sigma^>(\mathbf{r}_1, \mathbf{r}_3; t, t') G^<(\mathbf{r}_3, \mathbf{r}_2; t', t) \right. \\ \left. + G^<(\mathbf{r}_1, \mathbf{r}_3; t, t') \Sigma^>(\mathbf{r}_3, \mathbf{r}_2; t', t) \right. \\ \left. - \Sigma^<(\mathbf{r}_1, \mathbf{r}_3; t, t') G^>(\mathbf{r}_3, \mathbf{r}_2; t', t) - G^>(\mathbf{r}_1, \mathbf{r}_3; t, t') \Sigma^<(\mathbf{r}_3, \mathbf{r}_2; t', t) \right]$$

- Unperturbed time-evolution of $G^<$ in static $V(\mathbf{r})$
- Local time self-energy
- Internal dynamical correlations (collisions, scattering)



Boltzmann transport equation

Approximation:

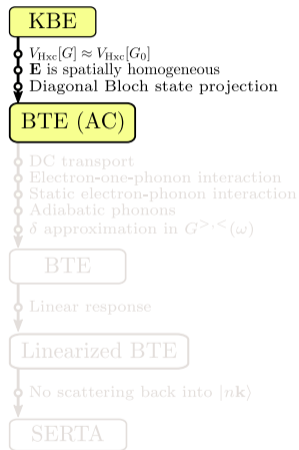
- $V_{\text{Hxc}}[G] \approx V_{\text{Hxc}}[G_0]$

$$\Sigma^\delta(\mathbf{r}_1, \mathbf{r}_2; t) \approx -e\phi_{\text{ext}}(\mathbf{r}_1, t)\delta^{(3)}(\mathbf{r}_1 - \mathbf{r}_2)$$

- \mathbf{E} is spatially homogeneous

$$\phi_{\text{ext}}(\mathbf{r}_1, t) - \phi_{\text{ext}}(\mathbf{r}_2, t) = -\mathbf{E}(t) \cdot (\mathbf{r}_1 - \mathbf{r}_2)$$

$$\int d^3r_3 \left[\Sigma^\delta(\mathbf{r}_1, \mathbf{r}_3; t)G^<(\mathbf{r}_3, \mathbf{r}_2; t, t) - G^<(\mathbf{r}_1, \mathbf{r}_3; t, t)\Sigma^\delta(\mathbf{r}_3, \mathbf{r}_2; t) \right] \\ \approx e\mathbf{E}(t) \cdot (\mathbf{r}_1 - \mathbf{r}_2)G^<(\mathbf{r}_1, \mathbf{r}_2; t, t)$$



SP *et al.*, Rep. Prog. Phys. **83**, 036501 (2020)

Boltzmann transport equation

We consider electrons in a solid and project the KBE in the $\{\varphi_{n\mathbf{k}}(\mathbf{r})\}$ basis.

Approximation:

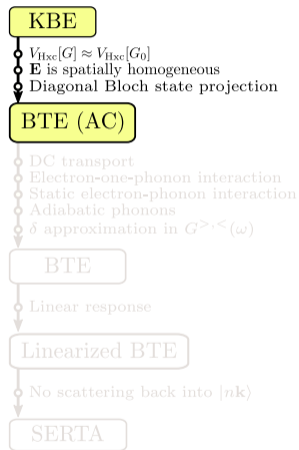
- diagonal matrix elements of G and Σ (ok if no strong band mixing)

By expanding the Bloch WF in plane waves and taking the diagonal elements we have:

$$\int d^3r_1 \int d^3r_2 \varphi_{n\mathbf{k}}^*(\mathbf{r}_1) e\mathbf{E}(t) \cdot (\mathbf{r}_1 - \mathbf{r}_2) G^<(\mathbf{r}_1, \mathbf{r}_2; t, t) \varphi_{n\mathbf{k}}(\mathbf{r}_2) \\ = -e\mathbf{E}(t) \cdot \frac{1}{\hbar} \frac{\partial f_{n\mathbf{k}}^<}{\partial \mathbf{k}}(t, t)$$

where

$$\mp \frac{i}{\hbar} f_{n\mathbf{k}}^{>, <}(t, t') \equiv \int d^3r_1 \int d^3r_2 \varphi_{n\mathbf{k}}^*(\mathbf{r}_1) G^{>, <}(\mathbf{r}_1, \mathbf{r}_2; t, t') \varphi_{n\mathbf{k}}(\mathbf{r}_2)$$



Boltzmann transport equation

The quantum BTE is:

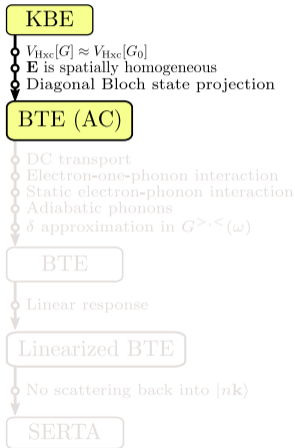
$$\frac{\partial f_{n\mathbf{k}}^{<}}{\partial t}(t, t) - e\mathbf{E}(t) \cdot \frac{1}{\hbar} \frac{\partial f_{n\mathbf{k}}^{<}}{\partial \mathbf{k}}(t, t) = -\Gamma_{n\mathbf{k}}^{(\text{co})}(t)$$

where the *collision rate* is defined as:

$$\Gamma_{n\mathbf{k}}^{(\text{co})}(t) \equiv \int_{-\infty}^t dt' \left[\Gamma_{n\mathbf{k}}^{>}(t, t') f_{n\mathbf{k}}^{<}(t', t) + f_{n\mathbf{k}}^{<}(t, t') \Gamma_{n\mathbf{k}}^{>}(t', t) - \Gamma_{n\mathbf{k}}^{<}(t, t') f_{n\mathbf{k}}^{>}(t', t) - f_{n\mathbf{k}}^{>}(t, t') \Gamma_{n\mathbf{k}}^{<}(t', t) \right]$$

and

$$\mp i\hbar \Gamma_{n\mathbf{k}}^{>, <}(t, t') \equiv \int d^3r_1 \int d^3r_2 \varphi_{n\mathbf{k}}^*(\mathbf{r}_1) \Sigma^{>, <}(\mathbf{r}_1, \mathbf{r}_2; t, t') \varphi_{n\mathbf{k}}(\mathbf{r}_2)$$



Boltzmann transport equation

For time-independent \mathbf{E} (DC) we can do a FT:

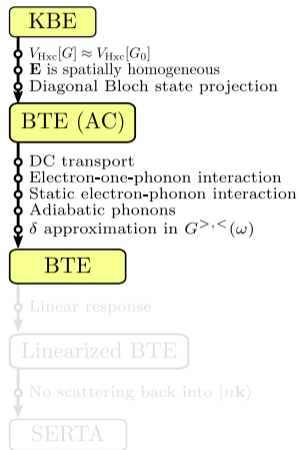
$$-e\mathbf{E} \cdot \frac{1}{\hbar} \frac{\partial f_{n\mathbf{k}}}{\partial \mathbf{k}} = - \int \frac{d\omega}{2\pi} [f_{n\mathbf{k}}^{<}(\omega)\Gamma_{n\mathbf{k}}^{>}(\omega) - f_{n\mathbf{k}}^{>}(\omega)\Gamma_{n\mathbf{k}}^{<}(\omega)]$$

where the \mathbf{E} -field dependent occupation number is

$$f_{n\mathbf{k}} \equiv \int \frac{d\omega}{2\pi} f_{n\mathbf{k}}^{<}(\omega).$$

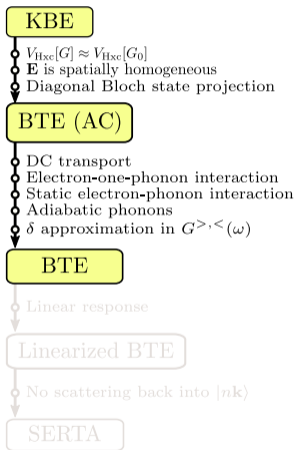
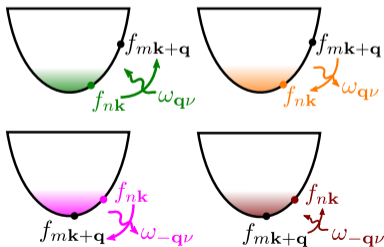
Approximations:

- Only scattering by lattice vibrations
- Neglect phonon-phonon interactions
- Frequency-independent el-ph matrix elements
- Phonon Green's function in the adiabatic approximation
- $f^{>,<}(\omega)$ is approximated at the level of \hat{H}_0
[$f_{n\mathbf{k}}^{<}(\omega) \approx 2\pi f_{n\mathbf{k}} \delta(\omega - \varepsilon_{n\mathbf{k}}/\hbar)$]



Boltzmann transport equation

$$\begin{aligned}
 -e\mathbf{E} \cdot \frac{1}{\hbar} \frac{\partial f_{n\mathbf{k}}}{\partial \mathbf{k}} &= \frac{2\pi}{\hbar} \sum_{m,\nu} \int \frac{d^3q}{\Omega_{\text{BZ}}} |g_{m\nu\nu}(\mathbf{k}, \mathbf{q})|^2 \\
 &\times [f_{n\mathbf{k}}(1 - f_{m\mathbf{k}+\mathbf{q}})\delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} + \hbar\omega_{\mathbf{q}\nu})n_{\mathbf{q}\nu} \\
 &+ f_{n\mathbf{k}}(1 - f_{m\mathbf{k}+\mathbf{q}})\delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} - \hbar\omega_{\mathbf{q}\nu})(n_{\mathbf{q}\nu} + 1) \\
 &- (1 - f_{n\mathbf{k}})f_{m\mathbf{k}+\mathbf{q}}\delta(\varepsilon_{m\mathbf{k}+\mathbf{q}} - \varepsilon_{n\mathbf{k}} + \hbar\omega_{\mathbf{q}\nu})n_{\mathbf{q}\nu} \\
 &- (1 - f_{n\mathbf{k}})f_{m\mathbf{k}+\mathbf{q}}\delta(\varepsilon_{m\mathbf{k}+\mathbf{q}} - \varepsilon_{n\mathbf{k}} - \hbar\omega_{\mathbf{q}\nu})(n_{\mathbf{q}\nu} + 1)]
 \end{aligned}$$



SP et al., Rep. Prog. Phys. **83**, 036501 (2020)

Linearized Boltzmann transport equation

Macroscopic average of the current density is

$$\begin{aligned}\mathbf{J}_M(\mathbf{E}) &= \frac{-e\hbar^2}{2m} \frac{1}{V} \int d^3r \lim_{\mathbf{r}_2 \rightarrow \mathbf{r}_1} (\nabla_2 - \nabla_1) G^<(\mathbf{r}_1, \mathbf{r}_2; t, t; \mathbf{E}) \\ &= \frac{-e}{V_{uc}} \sum_n \int \frac{d^3k}{\Omega_{BZ}} \mathbf{v}_{n\mathbf{k}} f_{n\mathbf{k}}(\mathbf{E})\end{aligned}$$

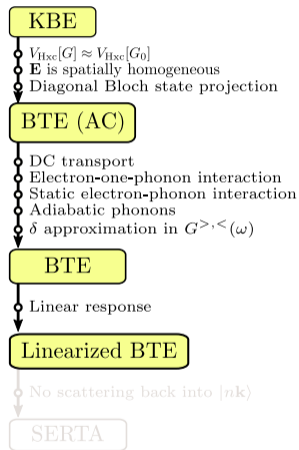
For weak \mathbf{E} , we can use the *linear response* of the current density to define the *conductivity*:

$$\sigma_{\alpha\beta} \equiv \left. \frac{\partial J_{M,\alpha}}{\partial E_\beta} \right|_{\mathbf{E}=\mathbf{0}} = \frac{-e}{V_{uc}} \sum_n \int \frac{d^3k}{\Omega_{BZ}} v_{n\mathbf{k}}^\alpha \partial_{E_\beta} f_{n\mathbf{k}}$$

where $\partial_{E_\beta} f_{n\mathbf{k}} = (\partial f_{n\mathbf{k}} / \partial E_\beta)|_{\mathbf{E}=\mathbf{0}}$.

The *carrier drift mobility* is

$$\mu_{\alpha\beta}^d \equiv \frac{\sigma_{\alpha\beta}}{en_c}$$



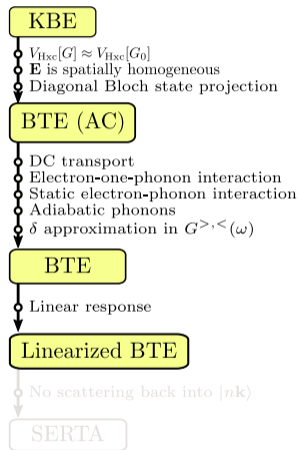
Linearized Boltzmann transport equation

$$\mu_{\alpha\beta}^d = \frac{-1}{V_{uc}n_c} \sum_n \int \frac{d^3k}{\Omega_{BZ}} v_{n\mathbf{k}}^\alpha \partial_{E_\beta} f_{n\mathbf{k}}$$

$$\begin{aligned} \partial_{E_\beta} f_{n\mathbf{k}} &= e v_{n\mathbf{k}}^\beta \frac{\partial f_{n\mathbf{k}}^0}{\partial \varepsilon_{n\mathbf{k}}} \tau_{n\mathbf{k}} + \frac{2\pi \tau_{n\mathbf{k}}}{\hbar} \sum_{m\nu} \int \frac{d^3q}{\Omega_{BZ}} |g_{m\nu}(\mathbf{k}, \mathbf{q})|^2 \\ &\times \left[(n_{\mathbf{q}\nu} + 1 - f_{n\mathbf{k}}^0) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} + \hbar\omega_{\mathbf{q}\nu}) \right. \\ &\left. + (n_{\mathbf{q}\nu} + f_{n\mathbf{k}}^0) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} - \hbar\omega_{\mathbf{q}\nu}) \right] \partial_{E_\beta} f_{m\mathbf{k}+\mathbf{q}} \end{aligned}$$

where

$$\begin{aligned} \tau_{n\mathbf{k}}^{-1} &\equiv \frac{2\pi}{\hbar} \sum_{m\nu} \int \frac{d^3q}{\Omega_{BZ}} |g_{m\nu}(\mathbf{k}, \mathbf{q})|^2 [(n_{\mathbf{q}\nu} + 1 - f_{m\mathbf{k}+\mathbf{q}}^0) \\ &\times \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} - \hbar\omega_{\mathbf{q}\nu}) + (n_{\mathbf{q}\nu} + f_{m\mathbf{k}+\mathbf{q}}^0) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} + \hbar\omega_{\mathbf{q}\nu})] \end{aligned}$$



SP *et al.*, Rep. Prog. Phys. **83**, 036501 (2020)

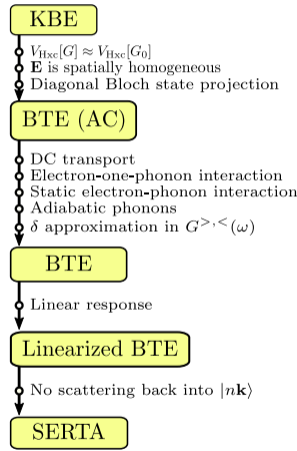
Self-energy relaxation time approximation

$$\mu_{\alpha\beta}^{d,SERTA} = \frac{-1}{V_{uc}n_c} \sum_n \int \frac{d^3k}{\Omega_{BZ}} v_{n\mathbf{k}}^\alpha \partial_{E\beta} f_{n\mathbf{k}}$$

$$\partial_{E\beta} f_{n\mathbf{k}} = e v_{n\mathbf{k}}^\beta \frac{\partial f_{n\mathbf{k}}^0}{\partial \varepsilon_{n\mathbf{k}}} \tau_{n\mathbf{k}}$$

where

$$\tau_{n\mathbf{k}}^{-1} \equiv \frac{2\pi}{\hbar} \sum_{m\nu} \int \frac{d^3q}{\Omega_{BZ}} |g_{m\nu}(\mathbf{k}, \mathbf{q})|^2 [(n_{\mathbf{q}\nu} + 1 - f_{m\mathbf{k}+\mathbf{q}}^0) \times \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} - \hbar\omega_{\mathbf{q}\nu}) + (n_{\mathbf{q}\nu} + f_{m\mathbf{k}+\mathbf{q}}^0) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} + \hbar\omega_{\mathbf{q}\nu})]$$



Linearized Boltzmann transport equation - Dense sampling !

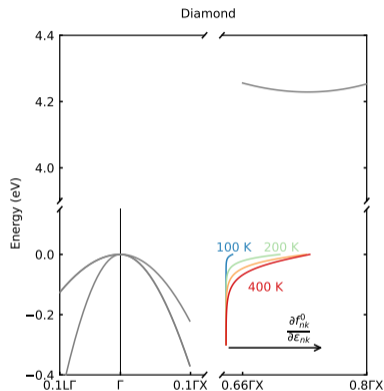
$$\mu_{\alpha\beta}^d = \frac{-1}{S_{uc}n_c} \sum_n \int \frac{d^3k}{S_{BZ}} v_{n\mathbf{k}\alpha} \partial_{E\beta} f_{n\mathbf{k}}$$

$$\partial_{E\beta} f_{n\mathbf{k}} = e v_{n\mathbf{k}\beta} \frac{\partial f_{n\mathbf{k}}^0}{\partial \varepsilon_{n\mathbf{k}}} \tau_{n\mathbf{k}}$$

$$+ \frac{2\pi}{\hbar} \tau_{n\mathbf{k}} \sum_{m\nu} \int \frac{d^3q}{S_{BZ}} |g_{m\nu}(\mathbf{k}, \mathbf{q})|^2 \left[(n_{\mathbf{q}\nu} + 1 - f_{n\mathbf{k}}^0) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} + \hbar\omega_{\mathbf{q}\nu}) + (n_{\mathbf{q}\nu} + f_{n\mathbf{k}}^0) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} - \hbar\omega_{\mathbf{q}\nu}) \right] \partial_{E\beta} f_{m\mathbf{k}+\mathbf{q}},$$

where the scattering rate is

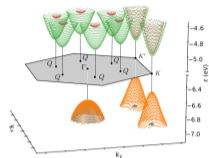
$$\tau_{n\mathbf{k}}^{-1} \equiv \frac{2\pi}{\hbar} \sum_{m\nu} \int \frac{d^3q}{\Omega_{BZ}} |g_{m\nu}(\mathbf{k}, \mathbf{q})|^2 \left[(n_{\mathbf{q}\nu} + 1 - f_{m\mathbf{k}+\mathbf{q}}^0) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} + \hbar\omega_{\mathbf{q}\nu}) + (n_{\mathbf{q}\nu} + f_{m\mathbf{k}+\mathbf{q}}^0) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} - \hbar\omega_{\mathbf{q}\nu}) \right]$$



Ultra-dense sampling required !

F. Macheda and N. Bonini, Phys. Rev. B **98**, 201201R (2018), SP *et al.*, Rep. Prog. Phys. **83**, 036501 (2020)
 SP *et al.*, Phys. Rev. Research **3**, 043022 (2021)

Accurate Fourier interpolations



$$\Phi(\mathbf{q}) - \Phi^{\mathcal{L}}(\mathbf{q})$$

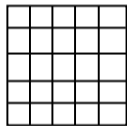
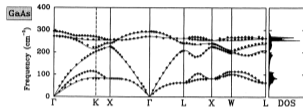
$$g(\mathbf{k}, \mathbf{q}) - g^{\mathcal{L}}(\mathbf{k}, \mathbf{q})$$



Real space

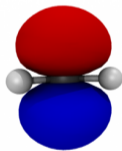
$$\Phi^{\mathcal{S}}(\mathbf{q}) + \Phi^{\mathcal{L}}(\mathbf{q})$$

$$g^{\mathcal{S}}(\mathbf{k}, \mathbf{q}) + g^{\mathcal{L}}(\mathbf{k}, \mathbf{q})$$

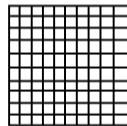


Coarse \mathbf{k}/\mathbf{q} mesh

W90
MLWF



MLWF



Dense \mathbf{k}/\mathbf{q} mesh

P. Giannozzi *et al.*, Phys. Rev. B **43**, 7231 (1991), X. Gonze and C. Lee, Phys. Rev. B **55**, 10355 (1997), S. Baroni *et al.*, Rev. Mod. Phys. **73**, 515 (2001), F. Giustino *et al.*, Phys. Rev. B **76**, 165108 (2007), N. Marzari *et al.*, Rev. Mod. Phys. **84**, 1419 (2012), G. Pizzi *et al.*, Comput. Mater. Sci. **111**, 218 (2016), C. Verdi and F. Giustino, Phys. Rev. Lett. **115**, 176401 (2015), J. Sjakste *et al.*, Phys. Rev. B **92**, 054307 (2015), SP *et al.*, Comput. Phys. Commun. **209**, 116 (2016), T. Sohier *et al.*, Phys. Rev. X **9**, 031019 (2019), V. A. Jhalani *et al.*, Phys. Rev. Lett. **125**, 136602 (2020), G. Brunin *et al.*, Phys. Rev. Lett. **125**, 136601 (2020), M. Royo *et al.*, Phys. Rev. Lett. **125**, 217602 (2020), M. Royo and M. Stengel, Phys. Rev. X **11**, 041027 (2021), SP *et al.*, Phys. Rev. Lett. **130**, 166301 (2023)

Long-range expressions

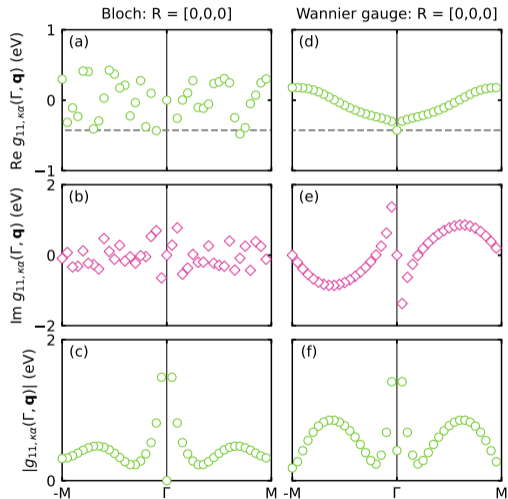
$$g_{mn\nu}(\mathbf{k}, \mathbf{q}) \equiv \langle \Psi_{m\mathbf{k}+\mathbf{q}} | \Delta_{\mathbf{q}\nu} V | \Psi_{n\mathbf{k}} \rangle$$

$$g_{mn\nu}(\mathbf{k}, \mathbf{q}) = \left[\frac{\hbar}{2\omega_\nu(\mathbf{q})} \right]^{\frac{1}{2}} \sum_{\kappa\alpha} \frac{e_{\kappa\alpha\nu}(\mathbf{q})}{\sqrt{M_\kappa}} g_{mn,\kappa\alpha}(\mathbf{k}, \mathbf{q})$$

$$g_{mn,\kappa\alpha}(\mathbf{k}, \mathbf{q}) = g_{mn,\kappa\alpha}^S(\mathbf{k}, \mathbf{q})$$

$$+ \sum_{\mathbf{G} \neq -\mathbf{q}} \sum_{sp} U_{ms\mathbf{k}+\mathbf{q}+\mathbf{G}} \langle u_{s\mathbf{k}+\mathbf{q}+\mathbf{G}}^W | V_{\mathbf{q}+\mathbf{G}\kappa\alpha}^{\mathcal{L}} | u_{p\mathbf{k}}^W \rangle U_{pn\mathbf{k}}^\dagger$$

$$\begin{aligned} |u_{n\mathbf{k}}\rangle &= e^{-i\mathbf{k}\cdot\mathbf{r}} |\Psi_{n\mathbf{k}}\rangle \\ &= \sum_p U_{np\mathbf{k}}^* |u_{p\mathbf{k}}^W\rangle \end{aligned}$$



SP, M. Royo, M. Gibertini, N. Marzari and M. Stengel, Phys. Rev. Lett. **130**, 166301 (2023)

3D long-range scattering potential

$$V_{\mathbf{q}\kappa\alpha}^{\mathcal{L}}(\mathbf{r}) = \frac{4\pi e}{\Omega|\mathbf{q}|^2} \frac{f(|\mathbf{q}|)}{\tilde{\epsilon}(\mathbf{q})} e^{-i\mathbf{q}\cdot\boldsymbol{\tau}_{\kappa}} \left(i\mathbf{q}\cdot\mathbf{Z}_{\kappa\alpha} + \frac{1}{2}\mathbf{q}\cdot\mathbf{Q}_{\kappa\alpha}\cdot\mathbf{q} \right) \left(1 + i\mathbf{q}\cdot V^{\text{Hxc},\boldsymbol{\epsilon}}(\mathbf{r}) \right)$$

$$\tilde{\epsilon}(\mathbf{q}) = \frac{\mathbf{q}\cdot\boldsymbol{\epsilon}\cdot\mathbf{q}}{|\mathbf{q}|^2} f(|\mathbf{q}|) + 1 - f(|\mathbf{q}|)$$

$$f(|\mathbf{q}|) = e^{-\frac{|\mathbf{q}+\mathbf{G}|^2 L^2}{4}}$$

$$d(L) = \frac{1}{N} \sum_{\kappa\kappa'l}^* \sum_{\alpha\beta} |\Phi_{\kappa\alpha,\kappa'\beta}^{\mathcal{S}}(0,l)|$$

2D Long-range scattering potential

$$V_{\mathbf{q}\kappa\alpha}^{\mathcal{L}}(\mathbf{r}) = \frac{\pi e}{S} \frac{f(|\mathbf{q}|)}{|\mathbf{q}|} e^{-i\mathbf{q}\cdot\boldsymbol{\tau}_{\kappa}} \left[\frac{1}{\tilde{\epsilon}^{\parallel}(\mathbf{q})} \left\{ 2i\mathbf{q}\cdot\mathbf{Z}_{\kappa\alpha} + \mathbf{q}\cdot\mathbf{Q}_{\kappa\alpha}\cdot\mathbf{q} - |\mathbf{q}|^2 Q_{\kappa\alpha zz} - 2\mathbf{q}\cdot\mathbf{Z}_{\kappa\alpha}\mathbf{q}\cdot V^{\text{Hxc},\boldsymbol{\epsilon}}(\mathbf{r})/e \right\} + \frac{1}{\tilde{\epsilon}^{\perp}(\mathbf{q})} \left\{ 2|\mathbf{q}|^2 Z_{\kappa\alpha z} [z + V^{\text{Hxc},\boldsymbol{\epsilon}_z}(\mathbf{r})/e] \right\} \right]$$

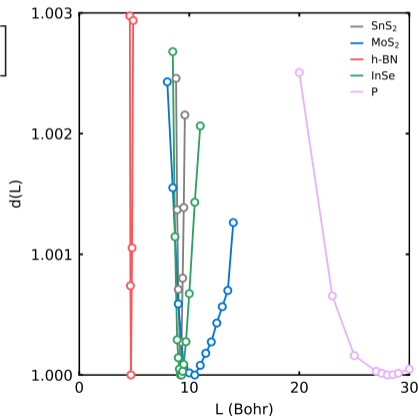
$$\tilde{\epsilon}^{\parallel}(\mathbf{q}) = 1 + \frac{2\pi}{|\mathbf{q}|} \frac{f(|\mathbf{q}|)}{|\mathbf{q}|} \mathbf{q}\cdot\boldsymbol{\alpha}^{\parallel}\cdot\mathbf{q}$$

$$\tilde{\epsilon}^{\perp}(\mathbf{q}) = 1 - 2\pi|\mathbf{q}| \frac{f(|\mathbf{q}|)}{|\mathbf{q}|} \boldsymbol{\alpha}^{\perp}$$

$$\boldsymbol{\alpha}^{\parallel} = (\check{\epsilon}_{\alpha\beta} - \delta_{\alpha\beta}) \frac{c}{4\pi}$$

$$\boldsymbol{\alpha}^{\perp} = (1 - \check{\epsilon}_{zz}^{-1}) \frac{c}{4\pi}$$

$$f(|\mathbf{q}|) = 1 - \tanh(|\mathbf{q}| L / 2)$$



SP, M. Royo, M. Stengel, N. Marzari and M. Gibertini, Phys. Rev. B **107**, 155424 (2023)

Matrix overlap

$$g_{mn,\kappa\alpha}(\mathbf{k}, \mathbf{q}) = g_{mn,\kappa\alpha}^{\mathcal{S}}(\mathbf{k}, \mathbf{q}) + \sum_{\mathbf{G} \neq -\mathbf{q}} \sum_{sp} U_{m,s\mathbf{k}+\mathbf{q}+\mathbf{G}} \langle u_{s\mathbf{k}+\mathbf{q}+\mathbf{G}}^{\mathcal{W}} | V_{\mathbf{q}+\mathbf{G}\kappa\alpha}^{\mathcal{L}} | u_{p\mathbf{k}}^{\mathcal{W}} \rangle U_{pn\mathbf{k}}^{\dagger}$$

Wannier gauge is smooth everywhere in BZ and for $\mathbf{q} \rightarrow 0$:

$$\langle u_{s\mathbf{k}+\mathbf{q}}^{\mathcal{W}} | = \langle u_{s\mathbf{k}}^{\mathcal{W}} | + \sum_{\alpha} q_{\alpha} \left\langle \frac{\partial u_{s\mathbf{k}}^{\mathcal{W}}}{\partial k_{\alpha}} \right| + \dots$$

The \mathbf{r} -dependent part:

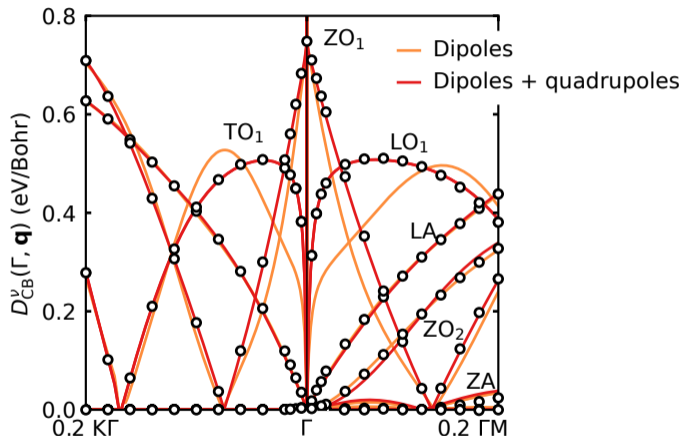
$$\langle \psi_{m\mathbf{k}+\mathbf{q}} | e^{i\mathbf{q}\cdot\mathbf{r}} [1 + i\mathbf{q} \cdot V^{\text{Hxc},\mathcal{E}}(\mathbf{r})] | \psi_{n\mathbf{k}} \rangle = \sum_{sp} U_{m,s\mathbf{k}+\mathbf{q}} \left[\delta_{sp} + i\mathbf{q} \cdot \left(\mathbf{A}_{sp\mathbf{k}}^{\mathcal{W}} + \langle u_{s\mathbf{k}}^{\mathcal{W}} | V^{\text{Hxc},\mathcal{E}}(\mathbf{r}) | u_{p\mathbf{k}}^{\mathcal{W}} \rangle \right) \right] U_{pn\mathbf{k}}^{\dagger}$$

where $\mathbf{A}_{sp\mathbf{k},\alpha}^{\mathcal{W}} = -i \langle \frac{\partial u_{s\mathbf{k}}^{\mathcal{W}}}{\partial k_{\alpha}} | u_{p\mathbf{k}}^{\mathcal{W}} \rangle = \sum_{\mathbf{R}} e^{i\mathbf{k}\cdot\mathbf{R}} \mathbf{r}_{sp,\mathbf{R}}$ is the Berry connection

$V^{\text{Hxc},\mathcal{E}}(\mathbf{r})$ has been found to be small and is neglected.

SnS₂ deformation potential

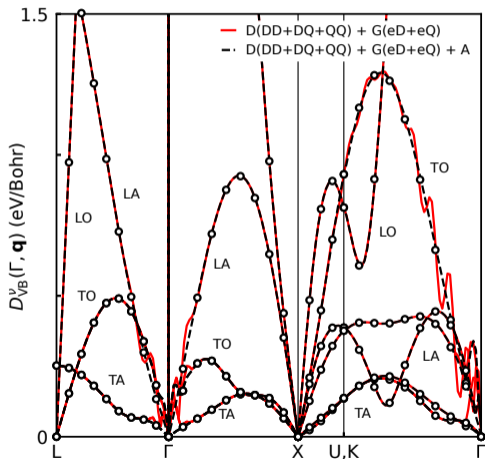
$$D^\nu(\Gamma, \mathbf{q}) = \frac{1}{\hbar N_w} \left[2\rho S_{uc} \hbar \omega_{\mathbf{q}\nu} \sum_{mn} |g_{mn\nu}(\Gamma, \mathbf{q})|^2 \right]^{1/2}$$



SP, M. Royo, M. Stengel, N. Marzari and M. Gibertini, Phys. Rev. B **107**, 155424 (2023)

Impact of Berry connection term - SrO

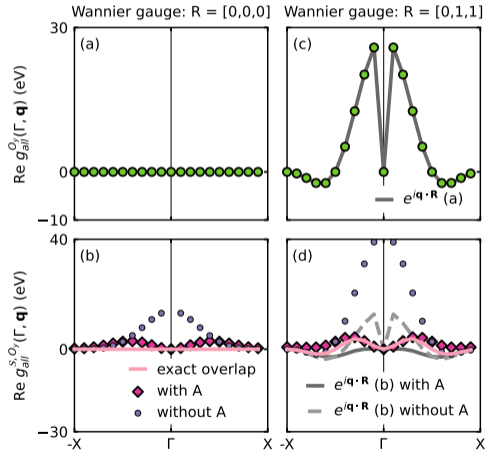
1. Improves the interpolation quality - quadrupolar order term



SP, M. Royo, M. Stengel, N. Marzari and M. Gibertini, Phys. Rev. B **107**, 155424 (2023)

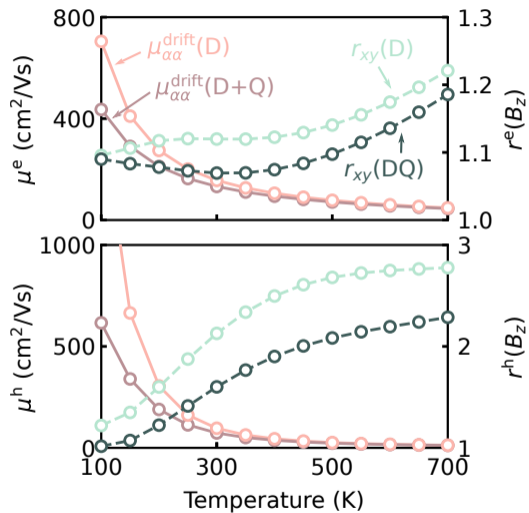
Impact of Berry connection term - SrO

2. Restores gauge covariance in the long-wavelength limit



SP, M. Royo, M. Stengel, N. Marzari and M. Gibertini, Phys. Rev. B **107**, 155424 (2023)

Impact on mobility - MoS₂



SP, M. Royo, M. Gibertini, N. Marzari and M. Stengel, Phys. Rev. Lett. **130**, 166301 (2023)

$$\mu_{\alpha\beta}^d = \frac{-1}{V_{uc}n_c} \sum_n \int \frac{d^3k}{\Omega_{BZ}} v_{n\mathbf{k}}^\alpha \partial_{E_\beta} f_{n\mathbf{k}}$$

Obtained from the commutator:

$$\hat{\mathbf{v}} = (i/\hbar)[\hat{H}, \hat{\mathbf{r}}]$$

$$\mathbf{v}_{nm\mathbf{k}} = \langle \psi_{m\mathbf{k}} | \hat{\mathbf{p}}/m_e + (i/\hbar)[\hat{V}_{NL}, \hat{\mathbf{r}}] | \psi_{n\mathbf{k}} \rangle,$$

where $\hat{\mathbf{p}} = -i\hbar\partial/\partial\mathbf{r}$ is the momentum operator.

$P_c r_\alpha | \psi_{n\mathbf{k}} \rangle$ are the solution of the linear system:

$$[H - \varepsilon_{n\mathbf{k}}S] P_c r_\alpha | \psi_{n\mathbf{k}} \rangle = P_c^\dagger [H - \varepsilon_{n\mathbf{k}}S, r_\alpha] | \psi_{n\mathbf{k}} \rangle,$$

where S is the overlap matrix and P_c the projector over the empty states.

In the local approximation (neglecting \hat{V}_{NL}):

$$v_{mn\mathbf{k}\mathbf{k}'\alpha} \approx \langle \psi_{m\mathbf{k}'} | \hat{p}_\alpha | \psi_{n\mathbf{k}} \rangle = \delta(\mathbf{k} - \mathbf{k}') \left(k_\alpha \delta_{mn} - i \int d\mathbf{r} u_{m\mathbf{k}'}^*(\mathbf{r}) \nabla_\alpha u_{n\mathbf{k}}(\mathbf{r}) \right)$$

Electronic velocities

$$\mu_{\alpha\beta}^d = \frac{-1}{V_{uc}n_c} \sum_n \int \frac{d^3k}{\Omega_{BZ}} v_{n\mathbf{k}}^\alpha \partial_{E_\beta} f_{n\mathbf{k}}$$

Wannier interpolated velocities:

$$v_{nm\mathbf{k}',\alpha} = \frac{1}{\hbar} H_{nm\mathbf{k}',\alpha} - \frac{i}{\hbar} (\varepsilon_{m\mathbf{k}'} - \varepsilon_{n\mathbf{k}'}) A_{mn\mathbf{k}',\alpha}$$

$$A_{mn\mathbf{k}',\alpha} = \sum_{m'n'} U_{mm'\mathbf{k}'}^\dagger A_{m'n'\mathbf{k}',\alpha}^{(W)} U_{n'n\mathbf{k}'}$$

$$A_{nm\mathbf{k},\alpha}^{(W)} = i \sum_{\mathbf{b}} w_b b_\alpha (\langle u_{n\mathbf{k}}^{(W)} | u_{m\mathbf{k}+\mathbf{b}}^{(W)} \rangle - \delta_{nm}),$$

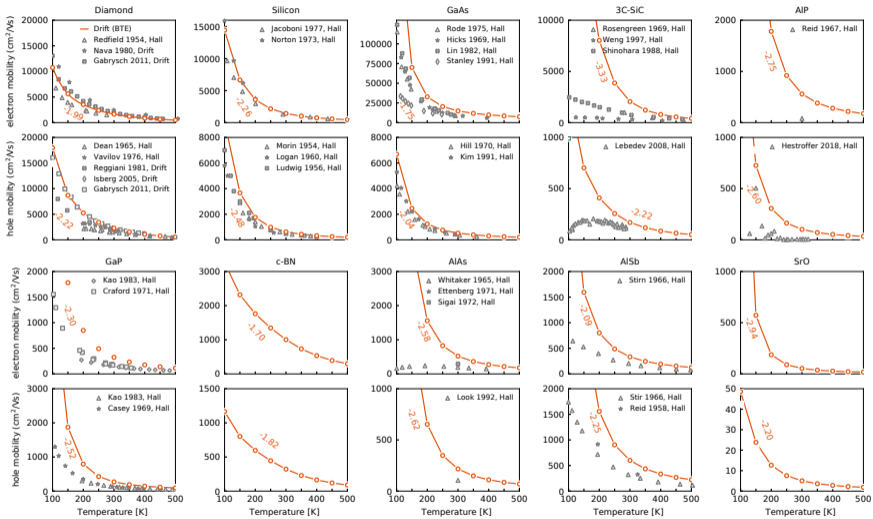
\mathbf{b} are the vectors connecting \mathbf{k} to its nearest neighbor and overlap matrices are:

$$\langle u_{n\mathbf{k}}^{(W)} | u_{m\mathbf{k}+\mathbf{b}}^{(W)} \rangle = \sum_{n'm'} U_{mm'\mathbf{k}}^\dagger M_{mn\mathbf{k}} U_{nn'\mathbf{k}+\mathbf{b}}$$

$M_{mn\mathbf{k}} = \langle u_{n\mathbf{k}} | u_{m\mathbf{k}+\mathbf{b}} \rangle$ is the phase relation between neighboring Bloch orbitals.

X. Wang, J. R. Yates, I. Souza, and D. Vanderbilt, Phys. Rev. B **74**, 195118 (2006)

Temperature dependence mobility

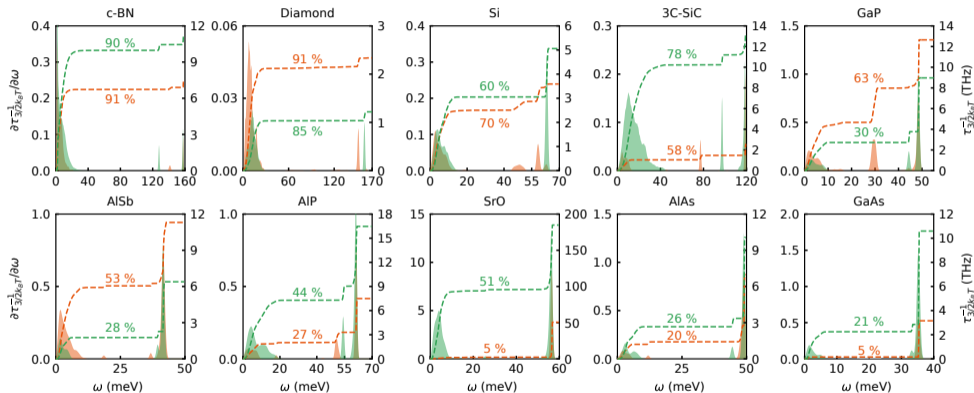


SP, F. Macheda, E. R. Margine, N. Marzari, N. Bonini, and F. Giustino, Phys. Rev. Research 3, 043022 (2021)

Spectral decomposition: dominant scattering

— electron

— hole

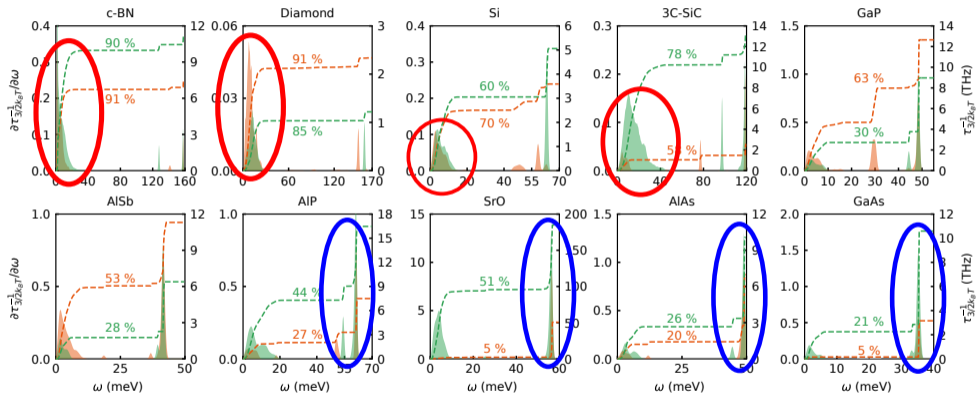


SP, F. Macheda, E. R. Margine, N. Marzari, N. Bonini, and F. Giustino, Phys. Rev. Research 3, 043022 (2021)

Spectral decomposition: dominant scattering

- electron
- hole

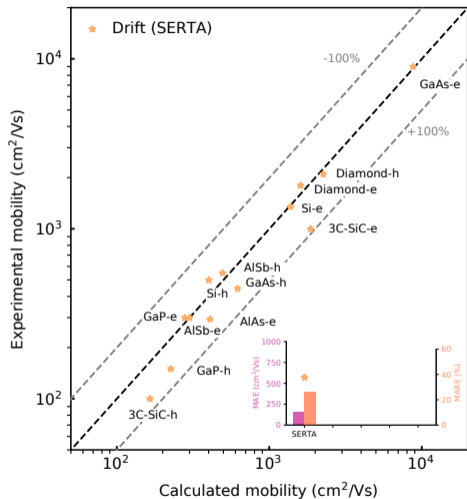
Acoustic scattering dominates



Optical scattering dominates

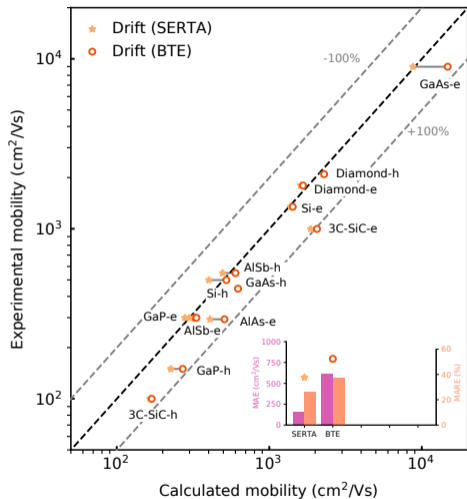
SP, F. Macheda, E. R. Margine, N. Marzari, N. Bonini, and F. Giustino, Phys. Rev. Research 3, 043022 (2021)

Experimental comparison



SP, F. Macheda, E. R. Margine, N. Marzari, N. Bonini, and F. Giustino, Phys. Rev. Research 3, 043022 (2021)

Experimental comparison



SP, F. Macheda, E. R. Margine, N. Marzari, N. Bonini, and F. Giustino, Phys. Rev. Research 3, 043022 (2021)

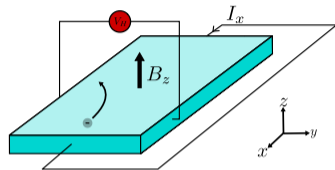
Hall mobility

$$\mu_{\alpha\beta}^{\text{Hall}}(\hat{\mathbf{B}}) = \sum_{\gamma} \mu_{\alpha\gamma}^{\text{drift}} r_{\gamma\beta}(\hat{\mathbf{B}})$$

$$r_{\alpha\beta}(\hat{\mathbf{B}}) \equiv \lim_{\mathbf{B} \rightarrow 0} \sum_{\delta\epsilon} \frac{[\mu_{\alpha\delta}^{\text{drift}}]^{-1} \mu_{\delta\epsilon}(\mathbf{B}) [\mu_{\epsilon\beta}^{\text{drift}}]^{-1}}{|\mathbf{B}|}$$

$$\mu_{\alpha\beta}(B_{\gamma}) = \frac{-1}{S_{\text{uc}} n_{\text{c}}} \sum_n \int \frac{d^3k}{S_{\text{BZ}}} v_{n\mathbf{k}\alpha} \left[\partial_{E_{\beta}} f_{n\mathbf{k}}(B_{\gamma}) - \partial_{E_{\beta}} f_{n\mathbf{k}} \right]$$

$$\mu_{\alpha\beta}^{\text{drift}} = \frac{-1}{S_{\text{uc}} n_{\text{c}}} \sum_n \int \frac{d^3k}{S_{\text{BZ}}} v_{n\mathbf{k}\alpha} \partial_{E_{\beta}} f_{n\mathbf{k}}$$



F. Macheda and N. Bonini, Phys. Rev. B **98**, 201201R (2018)

SP, W. Li, S. Reichardt, and F. Giustino, Rep. Prog. Phys. **83**, 036501 (2020)

SP, F. Macheda, E. R. Margine, N. Marzari, N. Bonini, and F. Giustino, Phys. Rev. Research **3**, 043022 (2021)

Hall mobility

$$\begin{aligned}
 \left[1 - \frac{e}{\hbar} \tau_{n\mathbf{k}} (\mathbf{v}_{n\mathbf{k}} \times \mathbf{B}) \cdot \nabla_{\mathbf{k}} \right] \partial_{E_{\beta}} f_{n\mathbf{k}}(\mathbf{B}) &= e v_{n\mathbf{k}\beta} \frac{\partial f_{n\mathbf{k}}^0}{\partial \varepsilon_{n\mathbf{k}}} \tau_{n\mathbf{k}} \\
 + \frac{2\pi}{\hbar} \tau_{n\mathbf{k}} \sum_{m\nu} \int \frac{d^3q}{S_{\text{BZ}}} |g_{m\nu}(\mathbf{k}, \mathbf{q})|^2 &\left[(n_{\mathbf{q}\nu} + 1 - f_{n\mathbf{k}}^0) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} + \hbar\omega_{\mathbf{q}\nu}) \right. \\
 &\left. + (n_{\mathbf{q}\nu} + f_{n\mathbf{k}}^0) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} - \hbar\omega_{\mathbf{q}\nu}) \right] \partial_{E_{\beta}} f_{m\mathbf{k}+\mathbf{q}}(\mathbf{B}),
 \end{aligned}$$

where the scattering rate is

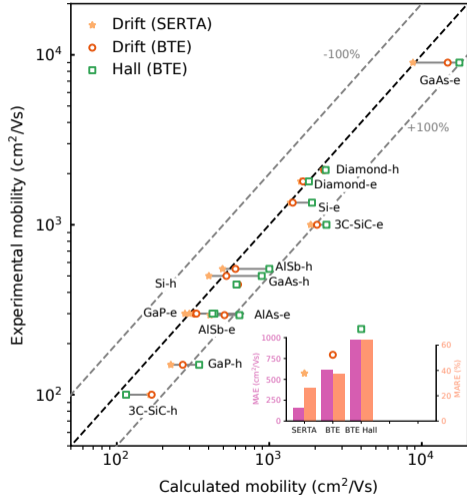
$$\begin{aligned}
 \tau_{n\mathbf{k}}^{-1} &\equiv \frac{2\pi}{\hbar} \sum_{m\nu} \int \frac{d^3q}{\Omega_{\text{BZ}}} |g_{m\nu}(\mathbf{k}, \mathbf{q})|^2 [(n_{\mathbf{q}\nu} + 1 - f_{m\mathbf{k}+\mathbf{q}}^0) \\
 &\quad \times \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} - \hbar\omega_{\mathbf{q}\nu}) + (n_{\mathbf{q}\nu} + f_{m\mathbf{k}+\mathbf{q}}^0) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} + \hbar\omega_{\mathbf{q}\nu})]
 \end{aligned}$$

F. Macheda and N. Bonini, Phys. Rev. B **98**, 201201R (2018)

SP, W. Li, S. Reichardt, and F. Giustino, Rep. Prog. Phys. **83**, 036501 (2020)

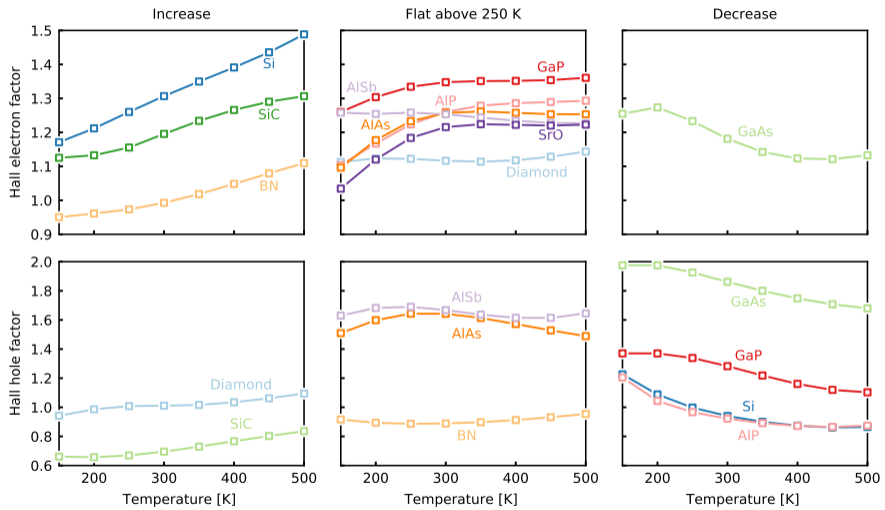
SP, F. Macheda, E. R. Margine, N. Marzari, N. Bonini, and F. Giustino, Phys. Rev. Research **3**, 043022 (2021)

Experimental comparison



SP, F. Macheda, E. R. Margine, N. Marzari, N. Bonini, and F. Giustino, Phys. Rev. Research 3, 043022 (2021)

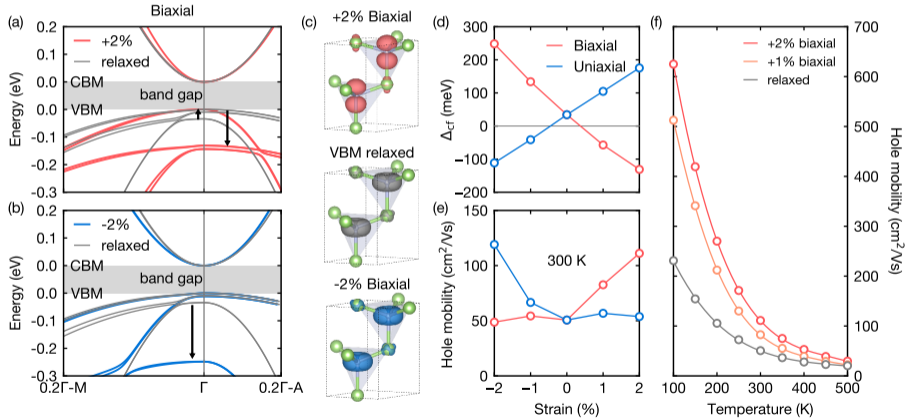
Hall factor is not unity



SP, F. Macheda, E. R. Margine, N. Marzari, N. Bonini, and F. Giustino, Phys. Rev. Research 3, 043022 (2021)

Strain engineering

Wurtzite GaN: Reversing the sign of the crystal-field splitting with strain
 Can be lattice matched to ZnGeN₂ (+50%) and MgSiN₂ (+260%)

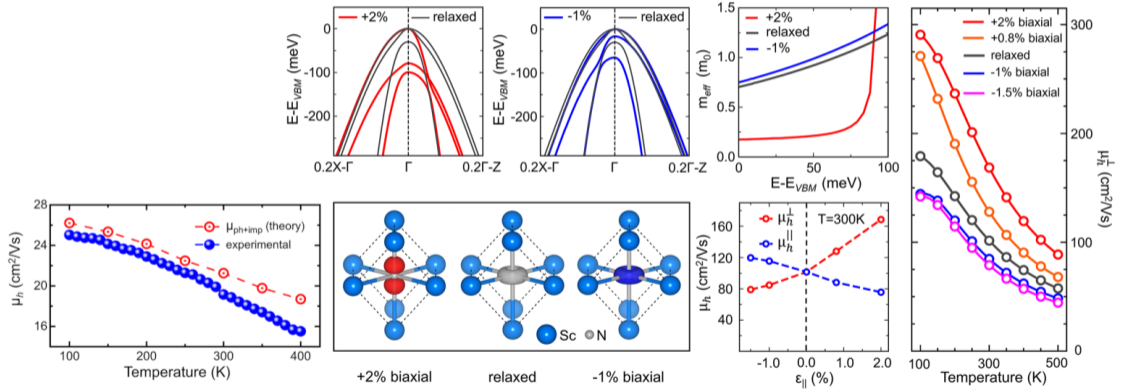


SP, D. Jena, and F. Giustino, Phys. Rev. Lett. **123**, 096602 (2019)

J. Leveillee, SP, N. L. Adamski, C. G. Van de Walle, and F. Giustino, App. Phys. Lett. **120**, 202106 (2022)

Strain engineering

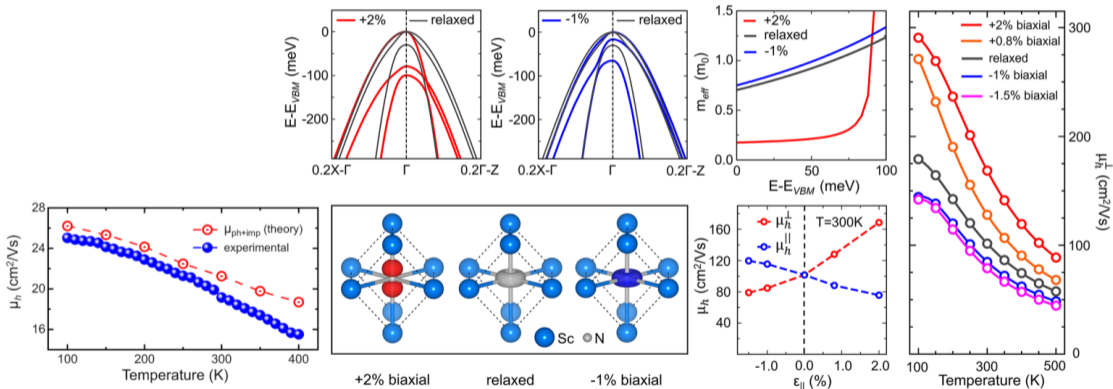
High hole mobility in strained p-type ScN



S. Rudra, D. Rao, SP, and B. Saha, Nano Lett. 23, 8211 (2023)

Examples of applications: strain engineering

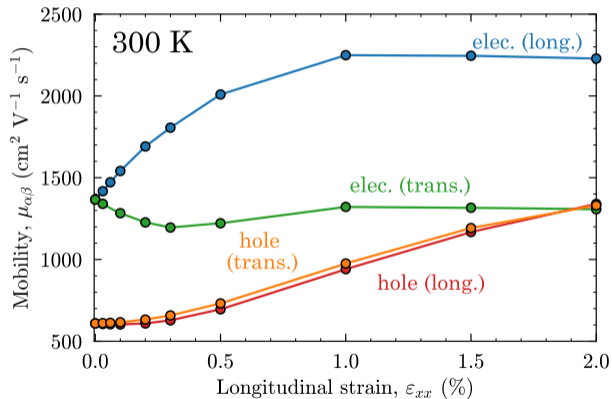
High hole mobility in strained p-type ScN



S. Rudra, D. Rao, SP, and B. Saha, Nano Lett. 23, 8211 (2023)

Examples of applications: strain engineering

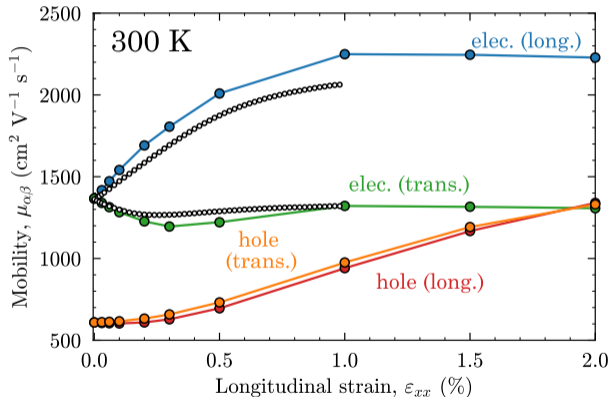
Impact on mobility of highly-strained silicon



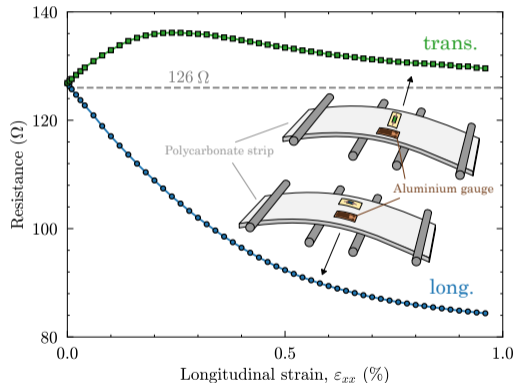
N. Roisin, G. Brunin, G.-M. Rignanese, D. Flandre, J.-P. Raskin, and SP, submitted (2024)

Examples of applications: strain engineering

Impact on mobility of highly-strained silicon



Lab-on-a-chip: 4-point bending measurements



N. Roisin, G. Brunin, G.-M. Rignanese, D. Flandre, J.-P. Raskin, and SP, submitted (2024)

Carrier-impurity scattering

Approximations:

- point charge impurity embedded in the dielectric continuum of the host material
- $\tau^{\text{imp},-1}$ within the first Born approximation (single-impurity scattering)
- dilute limit (additive $\tau^{\text{imp},-1}$) + randomly distributed impurities

Charged impurity scattering:

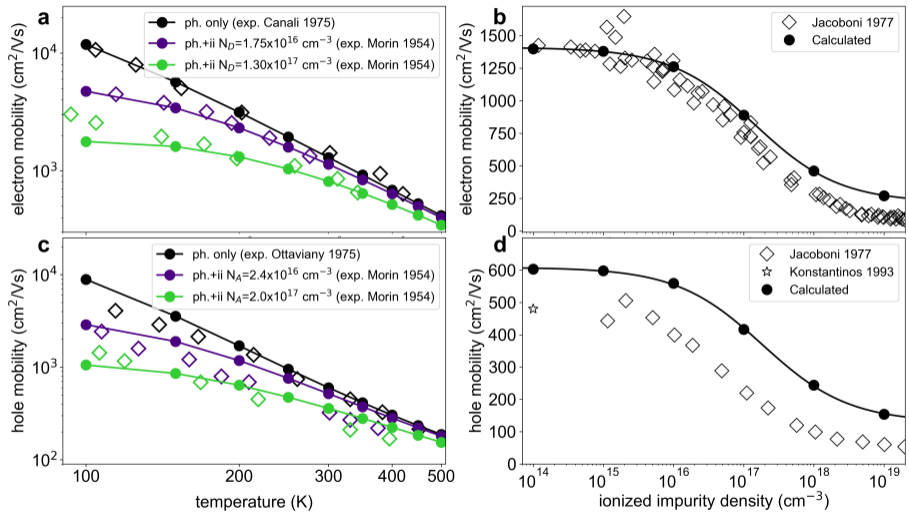
$$\frac{1}{\tau_{n\mathbf{k}}^{\text{imp}}} = N^{\text{imp}} \frac{2\pi}{\hbar} \sum_{m\mathbf{q}} |g_{mn}^{\text{imp}}(\mathbf{k}, \mathbf{q})|^2 \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}})$$
$$|g_{mn}^{\text{imp}}(\mathbf{k}, \mathbf{q})|^2 = \left[\frac{e^2}{4\pi\epsilon^0} \frac{4\pi Z}{\Omega} \right]^2 \sum_{\mathbf{G} \neq -\mathbf{q}} \frac{|\langle \psi_{m\mathbf{k}+\mathbf{q}} | e^{i(\mathbf{q}+\mathbf{G})\cdot\mathbf{r}} | \psi_{n\mathbf{k}} \rangle|^2}{|(\mathbf{q}+\mathbf{G}) \cdot \epsilon^0 \cdot (\mathbf{q}+\mathbf{G})|^2},$$

with a non-integrable divergence $|\mathbf{q}|^{-4} \rightarrow$ free carrier screening in the $\mathbf{q} \rightarrow \mathbf{0}$ limit:

$$\epsilon^0 \rightarrow \epsilon^0 + \frac{(q^{\text{TF}})^2}{q^2} \mathcal{I}$$
$$(q^{\text{TF}})^2 = \frac{e^2}{4\pi\epsilon^0} \frac{4\pi}{\Omega} 2 \sum_n \int \frac{d\mathbf{k}}{\Omega_{\text{BZ}}} \left| \frac{\partial f_{n\mathbf{k}}^0}{\partial \varepsilon_{n\mathbf{k}}} \right|$$

J. Leveillee, X. Zhang, E. Kioupakis, and F. Giustino, Phys. Rev. B **107**, 125207 (2023)

Carrier-impurity scattering - silicon



J. Leveillee, X. Zhang, E. Kioupakis, and F. Giustino, Phys. Rev. B **107**, 125207 (2023)

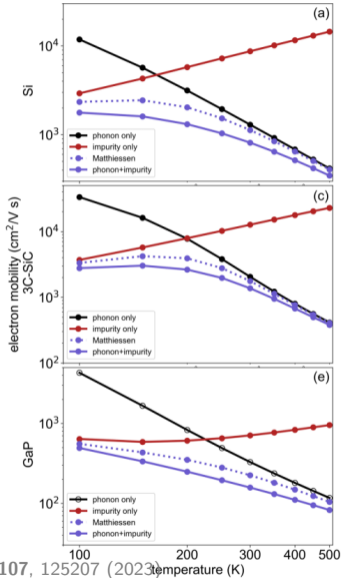
Validity of Matthiessen's rule

Matthiessen's rule:

$$\frac{1}{\mu} = \frac{1}{\mu^{\text{ph}}} + \frac{1}{\mu^{\text{imp}}}$$

versus aiBTE:

$$\begin{aligned} \partial_{E_\beta} f_{n\mathbf{k}}(\mathbf{B}) &= ev_{n\mathbf{k}\beta} \frac{\partial f_{n\mathbf{k}}^0}{\partial \varepsilon_{n\mathbf{k}}} \left[\tau_{n\mathbf{k}} + \tau_{n\mathbf{k}}^{\text{imp}} \right] + \frac{2\pi}{\hbar} \left[\tau_{n\mathbf{k}} + \tau_{n\mathbf{k}}^{\text{imp}} \right] \sum_m \\ &\times \int \frac{d^3q}{S_{\text{BZ}}} \left[\sum_\nu |g_{m\nu}(\mathbf{k}, \mathbf{q})|^2 \left\{ (n_{\mathbf{q}\nu} + 1 - f_{n\mathbf{k}}^0) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} + \hbar\omega_{\mathbf{q}\nu}) \right. \right. \\ &\quad \left. \left. + (n_{\mathbf{q}\nu} + f_{n\mathbf{k}}^0) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} - \hbar\omega_{\mathbf{q}\nu}) \right\} \right. \\ &\quad \left. + |g_{m\nu}^{\text{imp}}(\mathbf{k}, \mathbf{q})|^2 \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}}) \right] \partial_{E_\beta} f_{m\mathbf{k}+\mathbf{q}}(\mathbf{B}) \end{aligned}$$



J. Leveillee, X. Zhang, E. Kioupakis, and F. Giustino, Phys. Rev. B 107, 125207 (2023)

Resistivity in metals

Can be obtained from the solution of the BTE:

$$\rho_{\alpha\beta} = \sigma_{\alpha\beta}^{-1}$$
$$\sigma_{\alpha\beta} = \frac{-e}{V_{\text{uc}}} \sum_n \int \frac{d^3k}{\Omega_{\text{BZ}}} v_{n\mathbf{k}}^\alpha \partial_{E_\beta} f_{n\mathbf{k}}$$

Further approximation:

- constant $g_{m\nu}(\mathbf{k}, \mathbf{q})$ close to the Fermi level
- $-\frac{\partial f_{n\mathbf{k}}^0}{\partial \varepsilon_{n\mathbf{k}}} \approx \delta(\varepsilon^{\text{F}} - \varepsilon_{n\mathbf{k}})$

Lowest-order variational approximation (LOVA) / Ziman formula:

$$\rho(T) = \frac{4\pi m_e}{ne^2 k_B T} \int_0^\infty d\omega \hbar\omega \alpha_{\text{tr}}^2 F(\omega) n(\omega, T) [1 + n(\omega, T)],$$

Resistivity in metals

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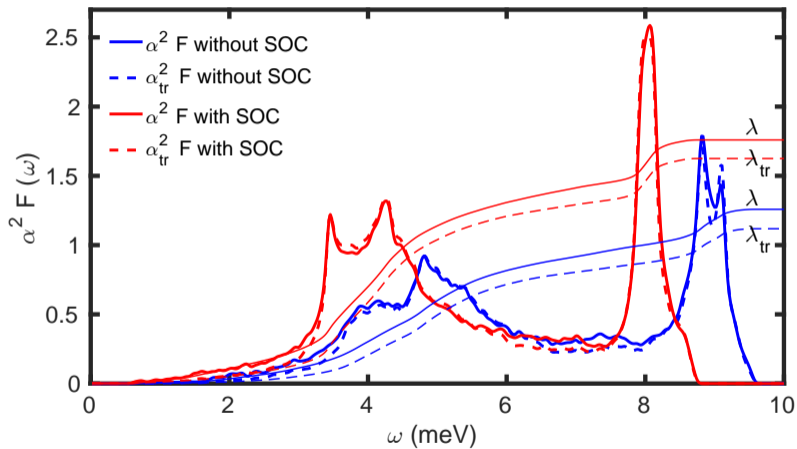
Isotropic Eliashberg transport spectral function:

$$\alpha_{\text{tr}}^2 F(\omega) = \frac{1}{2} \sum_{\nu} \int_{\text{BZ}} \frac{d\mathbf{q}}{\Omega_{\text{BZ}}} \omega_{\mathbf{q}\nu} \lambda_{\text{tr}, \mathbf{q}\nu} \delta(\omega - \omega_{\mathbf{q}\nu}),$$

Mode-resolved transport coupling strength is defined by:

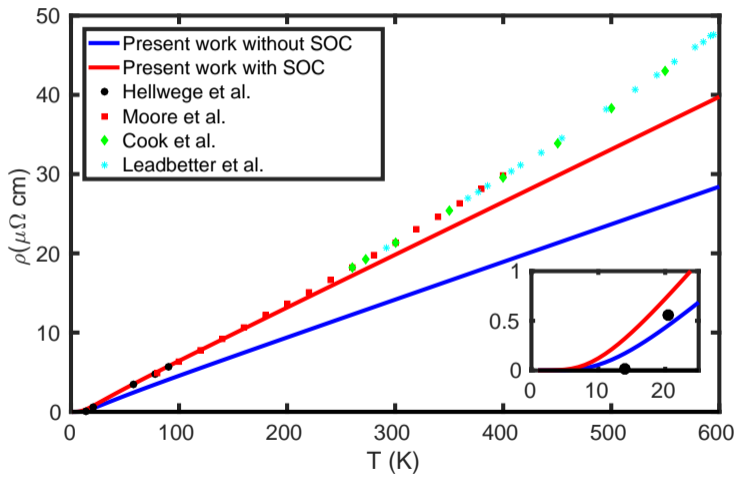
$$\lambda_{\text{tr}, \mathbf{q}\nu} = \frac{1}{N(\varepsilon_F) \omega_{\mathbf{q}\nu}} \sum_{nm} \int_{\text{BZ}} \frac{d\mathbf{k}}{\Omega_{\text{BZ}}} |g_{mn, \nu}(\mathbf{k}, \mathbf{q})|^2 \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_F) \delta(\varepsilon_{m\mathbf{k}+\mathbf{q}} - \varepsilon_F) \left(1 - \frac{v_{n\mathbf{k}} \cdot v_{m\mathbf{k}+\mathbf{q}}}{|v_{n\mathbf{k}}|^2}\right).$$

Eliashberg spectral function



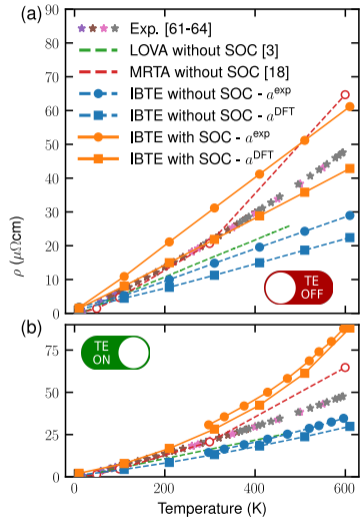
SP, E. R. Margine, C. Verdi, and F. Giustino, *Comput. Phys. Commun.* **209**, 116 (2016)

Ziman's formula



SP, E. R. Margine, C. Verdi, and F. Giustino, *Comput. Phys. Commun.* **209**, 116 (2016)

BTE resistivity



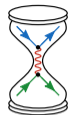
F. Goudreault, SP, F. Giustino, and M. Côté, unpublished (2024)

Recent developments: anharmonicities in SrTiO₃

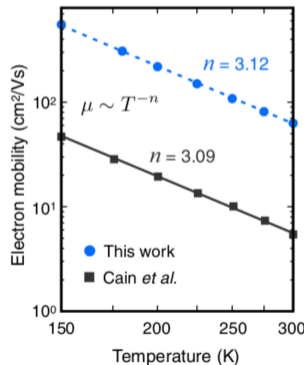
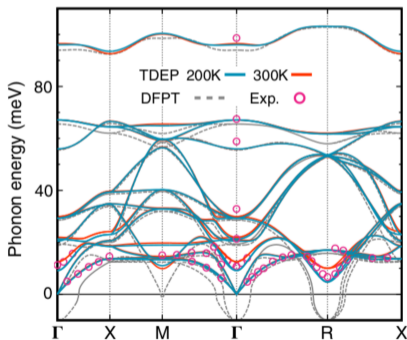


Anharmonicity can be treated with:

- TDEP, SSCHA
- d3q, phono3py, ShengBTE
- Alamode,
- ZG.x



Perturbo

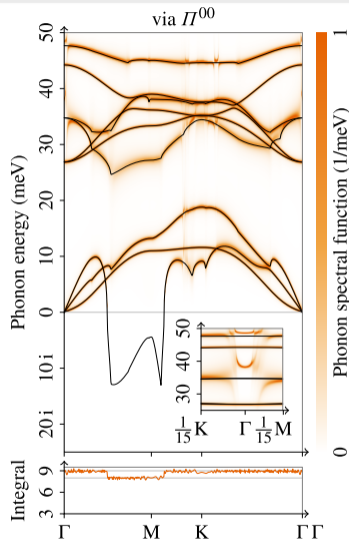


J.-J. Zhou, O. Hellman, and M. Bernardi, Phys. Rev. Lett. 121, 226603 (2018)

Recent developments: non-adiabatic and dynamical phonons



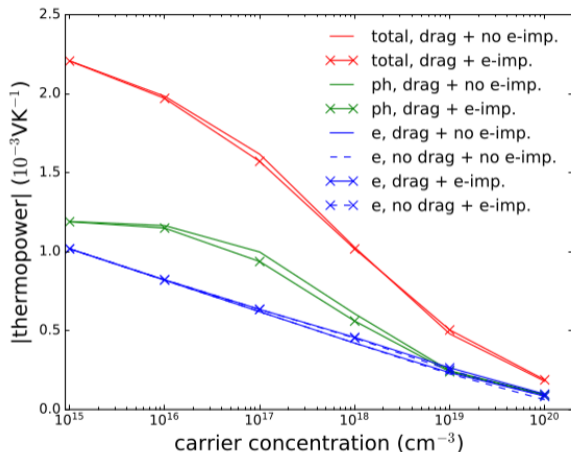
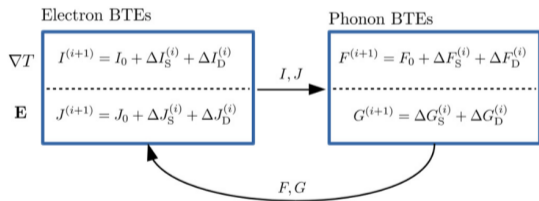
- Phonon spectral function $\Pi_{\mathbf{q}}(T, \omega)$ at 12 K - monolayer TaS₂
- Many Kohn anomalies are revealed



J. Berges, N. Girotto, T. Wehling, N. Marzari, and SP, Phys. Rev. X 13, 041009 (2023)

Recent developments: Coupled transport of phonons and carriers

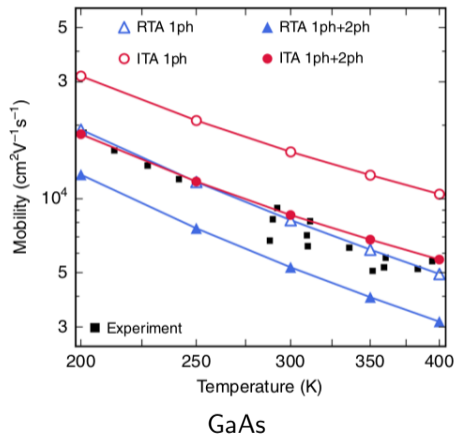
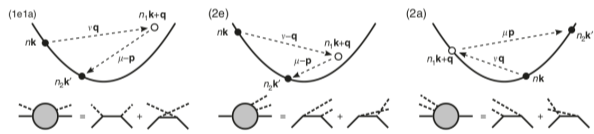
eIphbolt



N. H. Protik and D. A. Broido, Phys. Rev. B **101**, 075202 (2020)

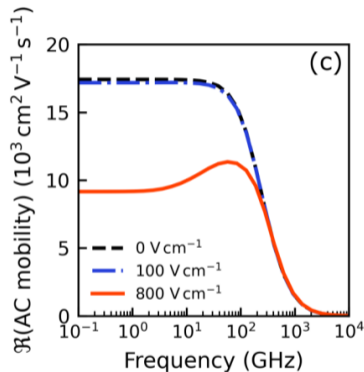
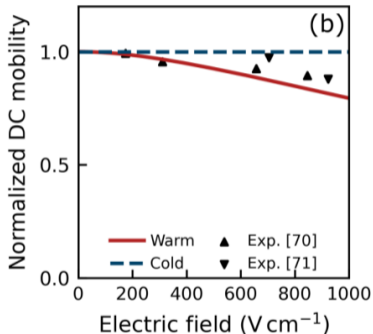
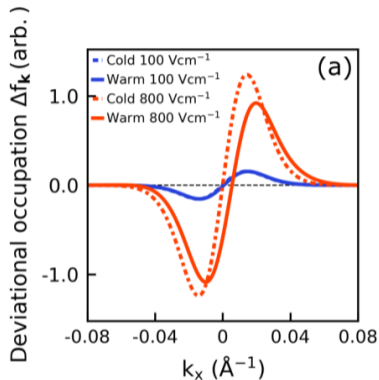
N. H. Protik and B. Kozinsky, Phys. Rev. B **102**, 245202 (2020)

Recent developments: Electron-two-phonon scattering



N.-E. Lee, J.J. Zhou, H.-Y. Chen, and M. Bernardi, Nature Commun. 11, 1607 (2020)

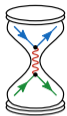
Recent developments: High field / warm electrons



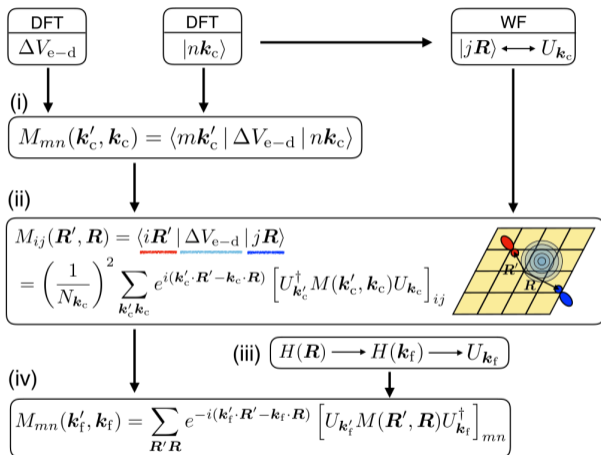
GaAs

A. Y. Choi, P. S. Cheng, B. Hatanpää, and A. J. Minnich, Phys. Rev. Materials **5**, 044603 (2021)

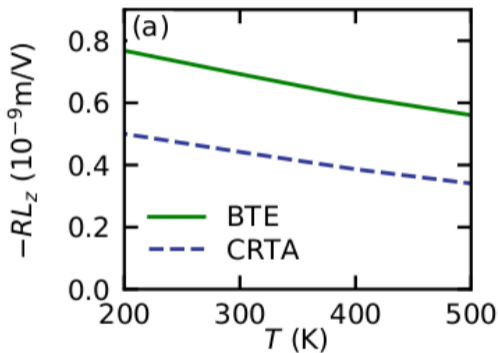
Recent developments: Electron-neutral defect scattering



Perturbo



I.-T. Lu, J. Park, J.-J. Zhou, and M. Bernardi, npj Comput. Mater. **6**, 17 (2020)

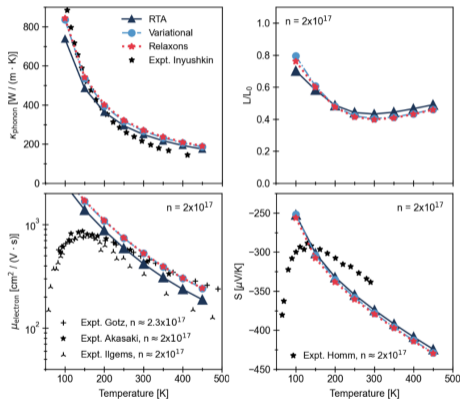


Current responsivity in GeTe

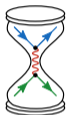
$$R = \frac{1}{L_z} \frac{\sigma_{NLH}^{z;xx}}{\sigma_L^{xx}}$$

J.-M. Lihm and C.-H. Park, Phys. Rev. Lett. **132**, 106402 (2024)

A. Cepellotti, J. Coulter, A. Johansson, N. S. Fedorova, and B. Kozinsky, J. Phys: Mater. **5**, 035003 (2022)



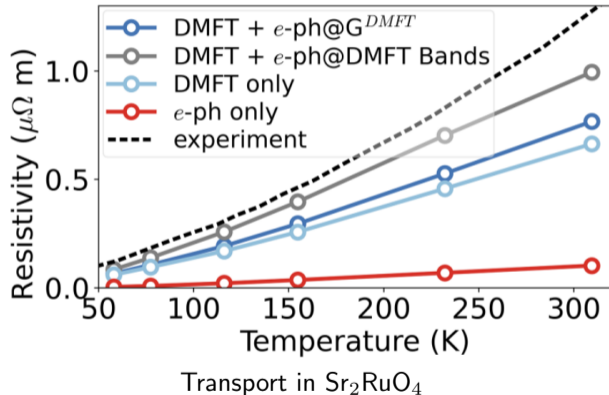
Thermal and carrier transport of doped GaN



Perturbo



TRIQS



D. J. Abramovitch, J.-J. Zhou, J. Mravlje, A. Georges, and M. Bernardi, Phys. Rev. Mater. **7**, 093801 (2023)
+ cumulant \rightarrow J.-J. Zhou and M. Bernardi, Phys. Rev. Research **1**, 033138 (2019)

Physics-Informed Deep Learning for Solving Coupled Electron and Phonon Boltzmann Transport Equations

Ruiyang Li¹, Eungkyu Lee,^{2,*} and Tengfei Luo^{1,3,4,†}¹Department of Aerospace and Mechanical Engineering, University of Notre Dame, Notre Dame, Indiana 46556, USA²Department of Electronic Engineering, Kyung Hee University, Yongin-si, Gyeonggi-do 17104, South Korea³Department of Chemical and Biomolecular Engineering, University of Notre Dame, Notre Dame, Indiana 46556, USA⁴Center for Sustainable Energy of Notre Dame (ND Energy), University of Notre Dame, Notre Dame, Indiana 46556, USA (Received 15 February 2023; revised 9 March 2023; accepted 25 May 2023; published 15 June 2023)

Electron-phonon (*e-ph*) coupling and transport are ubiquitous in modern electronic devices. The coupled electron and phonon Boltzmann transport equations (BTEs) hold great potential for the simulation of thermal transport in metal and semiconductor systems. However, solving the BTEs is often computationally challenging owing to their high dimensional complexity and a wide span of heat carrier properties, which

Machine learning electron-phonon interactions in 2D materials

Anubhab Haldar,^{1,*} Quentin Clark,^{1,2,*} Marios Zacharias,³ Feliciano Giustino,^{4,5} and Sahar Sharifzadeh^{1,6,†}¹Department of Electrical and Computer Engineering, Boston University, United States²Department of Philosophy, Boston University, United States³Univ Rennes, INSA Rennes, CNRS, Institut FOTON, Rennes, France⁴Oden Institute for Computational Engineering and Sciences,

The University of Texas, Austin, Austin, TX, USA

⁵Department of Physics, The University of Texas, Austin, Austin, TX, USA⁶Division of Materials Science and Engineering, Boston University, United States

(Date of August 10, 2023)

Accelerating the calculation of electron-phonon coupling by

machine learning methods

Yang Zhong², Zhigao Tao¹, Weibin Chu¹, Xingao Gong¹, Hongjin Xiang^{1,†}¹Key Laboratory of Computational Physical Sciences (Ministry of Education), Institute of Computational Physical Sciences, State Key Laboratory of Surface Physics, and Department of Physics, Fudan University, Shanghai, 200433, China²Shanghai Qi Zhi Institute, Shanghai, 200030, China[†]E-mail: txiang@fudan.edu.cn

Editors' Suggestion

Deep-Learning Density Functional Perturbation Theory

He Li^{1,2,*}, Zechen Tang^{1,†}, Jingheng Fu¹, Wen-Han Dong¹, Nianlong Zou¹,Xiaoxun Gong^{1,3}, Wenhui Duan^{1,2,4,5}, and Yong Xu^{1,4,5,†}¹State Key Laboratory of Low Dimensional Quantum Physics and Department of Physics, Tsinghua University, Beijing 100084, China²Institute for Advanced Study, Tsinghua University, Beijing 100084, China³School of Physics, Peking University, Beijing 100871, China⁴Frontier Science Center for Quantum Information, Beijing, China⁵RIKEN Center for Emergent Matter Science (CEMS), Wako, Saitama 351-0198, Japan (Received 6 September 2023; revised 1 January 2024; accepted 31 January 2024; published 28 February 2024)


Calculating perturbation response properties of materials from first principles provides a vital link between theory and experiment, but is bottlenecked by the high computational cost. Here, a general framework is proposed to perform density functional perturbation theory (DFPT) calculations by neural networks, greatly improving the computational efficiency. Automatic differentiation is applied on neural networks, facilitating accurate computation of derivatives. High efficiency and good accuracy of the approach are demonstrated by studying electron-phonon coupling and related physical quantities. This work brings deep-learning density functional theory and DFPT into a unified framework, creating opportunities for developing *ab initio* artificial intelligence.

DOI: 10.1103/PhysRevLett.132.096401

Data-Driven Compression of Electron-Phonon Interactions

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²Department of Physics, California Institute of Technology, Pasadena, California 91125, USA (Received 16 July 2023; revised 17 January 2024; accepted 29 March 2024; published 1 May 2024)

First-principles calculations of electron interactions in materials have seen rapid progress in recent years, with electron-phonon (*e-ph*) interactions being a prime example. However, these techniques use large matrices encoding the interactions on dense momentum grids, which reduces computational efficiency and obscures interpretability. For *e-ph* interactions, existing interpolation techniques leverage locality in real space, but the high dimensionality of the data remains a bottleneck to balance cost and accuracy. Here we show an efficient way to compress *e-ph* interactions based on singular value decomposition (SVD), a widely used matrix and image compression technique. Leveraging (un)constrained SVD methods, we accurately predict material properties related to *e-ph* interactions—including charge mobility, spin relaxation times, band renormalization, and superconducting critical temperature—while using only a small fraction (1%–2%) of the interaction data. These findings unveil the hidden low-dimensional nature of

R. Li, E. Lee, and T. Luo, Phys. Rev. Appl. 19, 064049 (2023)

H. Li, Z. Tang, J. Fu, W.-H. Dong, N. Zou, X. Gong, W. Duan, and Y. Xu, Phys. Rev. Lett. 132, 096401 (2024)

Y. Luo, D. Desai, B. K. Chang, J. Park, and M. Bernardi, Phys. Rev. X 14, 021023 (2024)

Recent developments: rise of the machine ...

PHYSICAL REVIEW APPLIED 19, 064049 (2023)

PHYSICAL REVIEW LETTERS 132, 096401 (2024)

Physics-Informed Deep Learning for Solving Coupled Electron-Phonon Boltzmann Transport Equations

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Machine learning electron-phonon interactions

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Accelerating the calculation of electron-phonon coupling

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Deep-Learning Density Functional Perturbation Theory

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²Institute for Advanced Study, Tsinghua University, Beijing 100084, China

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PHYSICAL REVIEW X 14, 021023 (2024)

Compressed Representation of Electron-Phonon Interactions

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¹Department of Applied Physics and Materials Science, California Institute of Technology, Pasadena, California 91125, USA

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Y. Luo, D. Desai, B. K. Chang, J. Park, and M. Bernardi, Phys. Rev. X 14, 021023 (2024)

- The Boltzmann transport equation can be obtained from a rigorous many-body framework
- Long-range electrostatics is important for accurate interpolation
- The Hall factor is temperature dependent and can deviate from unity
- BTE mobilities overestimates experiment
- Carrier-impurity scattering is crucial for high-carrier concentrations

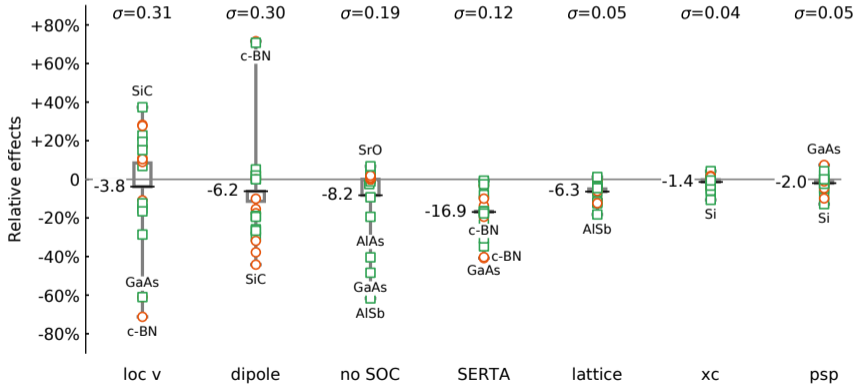
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Supplemental Slides

Strongest approximations

- Local velocity approximation
- Neglect of quadrupoles
- SOC for hole mobility
- Self energy relaxation time approximation

○ electron
□ hole



Linearized Boltzmann transport equation

Side note

Berryology [TM Ivo Souza]:

$$\begin{aligned}j_{\alpha} &= -e \int_{\mathbf{k}} \dot{r}_a f(\varepsilon) \\ &= -e \int_{\mathbf{k}} \left[\underbrace{v_a}_{\text{band}} + \underbrace{(e/\hbar)\Omega_{ab}E_b}_{\text{anomalous}} + \dots \right] [f_0 + \tau e v_c E_c f'_0 + \dots] \\ &= C + \sigma_{ab} E_b + \sigma_{abc} E_b E_c + \dots\end{aligned}$$

$$\sigma_{ab} = -e^2 \tau \int_{\mathbf{k}} v_a v_b f'_0 - \frac{e^2}{\hbar} \int_{\mathbf{k}} \Omega_{ab} f_0 \quad \text{Linear Ohmic} + \text{Hall}$$

In system with TR symmetry: $\int_{\mathbf{k}} \Omega_{ab} f_0 = 0$

